



Universidad Veracruzana

Classical and quantum Lyapunov exponents as indicators of excited-state quantum phase transitions.

Sergio Lerma-Hernández
(Universidad Veracruzana, Mexico)

In collaboration with

Saúl Pilatowsky-Cameo
(Bachelor in Physics, UNAM)

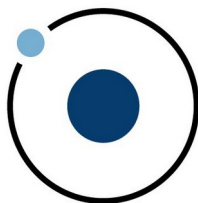
Jorge Chávez-Carlos
(postdoc, Instituto Ciencias Física-UNAM)

Miguel Bastarrachea-Magani
(now in Universidad Autónoma Metropolitana-México)

Pavel Stránský
(Faculty of Mathematics and Physics, Charles University, Czech Republic)

Lea Santos
(Physics Department, Yeshiva University, NY, USA)

Jorge Hirsch
(Instituto de Ciencias Nucleres-UNAM)



esqpi
seminar series
2021

Huelva, 2021



Universidad Veracruzana

Classical and quantum Lyapunov exponents as indicators of excited-state quantum phase transitions (a classical analysis).

Sergio Lerma-Hernández
(Universidad Veracruzana, Mexico)

In collaboration with

Saúl Pilatowsky-Cameo
(Bachelor in Physics, UNAM)

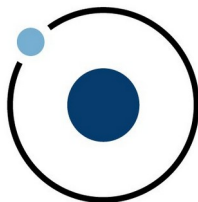
Jorge Chávez-Carlos
(postdoc, Instituto Ciencias Física-UNAM)

Miguel Bastarrachea-Magani
(now in Universidad Autónoma Metropolitana-México)

Pavel Stránský
(Faculty of Mathematics and Physics, Charles University, Czech Republic)

Lea Santos
(Physics Department, Yeshiva University, NY, USA)

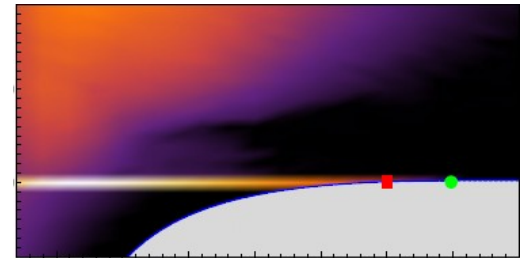
Jorge Hirsch
(Instituto de Ciencias Nucleres-UNAM)



esqpi
2021
seminar series

Outline

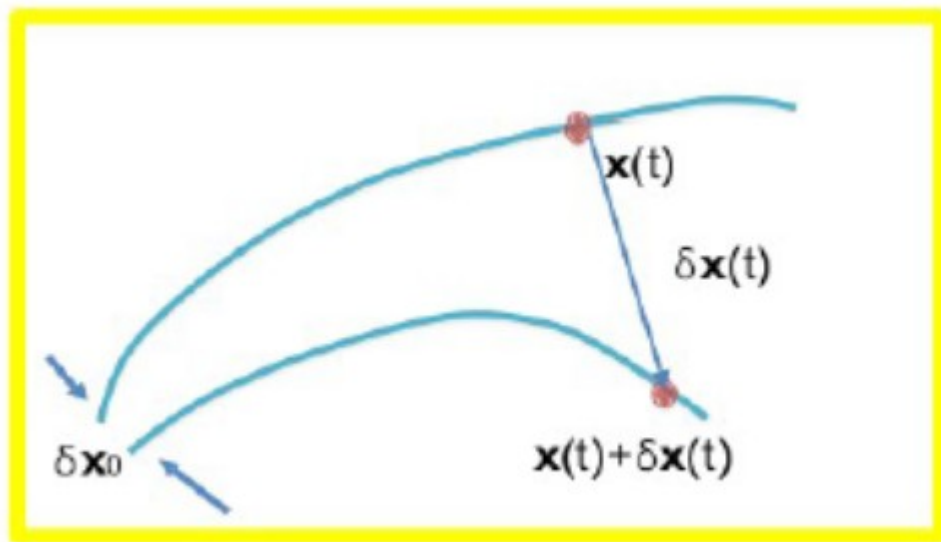
- Classical and quantum Lyapunov exponents, Out-of-Time-Order Correlators (OTOCs)
- Positive Lyapunov exponents are also associated to hyperbolic unstable fixed points in regular systems.
- A detector of Excited-States Quantum Phase Transitions: examples in the Lipkin-Meshkov-Glick model and Dicke model.
- Conclusions



Sensitivity to initial conditions: classical Lyapunov exponent

$$\|\delta \mathbf{x}(t)\| \sim e^{\lambda t} \|\delta \mathbf{x}(0)\|$$

$$\lambda = \lim_{t \rightarrow \infty} \lim_{\|\delta \mathbf{x}_0\| \rightarrow 0} \frac{1}{t} \ln \frac{\|\delta \mathbf{x}(t)\|}{\|\delta \mathbf{x}_0\|}$$



Butterfly effect



Tangent space.

$$\mathbf{x} = (\mathbf{q}, \mathbf{p}) = (q_1, \dots, q_n, p_1, \dots, p_n)$$

$$\begin{pmatrix} \dot{\mathbf{x}} \\ \dot{\Phi} \end{pmatrix} = \begin{pmatrix} F(\mathbf{x}) \\ D_{\mathbf{x}} F(\mathbf{x}) \Phi \end{pmatrix}$$

Initial conditions

$$\begin{pmatrix} \mathbf{x}(\mathbf{t}_0) \\ \Phi(\mathbf{t}_0) \end{pmatrix} = \begin{pmatrix} \mathbf{x}_0 \\ \mathbb{I} \end{pmatrix}$$

$$\delta \mathbf{x}(t) = \Phi_t(\mathbf{x}_0) \cdot \delta \mathbf{x}_0$$

Out-of-Time order correlator (OTOC an information scrambling measure) :

Revival of an old concept:

Quasiclassical method in the theory of Superconductivity

I. Larkin and Yu. N. Ovchinnikov Zh. Eksp. Teor. Fiz. 55, 2262-2272 (June, 1969)



A bound on chaos

$$\lambda_L \leq \frac{2\pi k_B T}{\hbar}$$

JHEP08(2016)106

Juan Maldacena,^a Stephen H. Shenker^b and Douglas Stanford^a

In quantum mechanics, chaos can be characterized using the commutator $[W(t), V(0)]$ between rather general Hermitian operators at time separation t ... One indication of the strength of such effect is

$$C(t) = -\langle [W(t), V(0)]^2 \rangle$$

Para $W(t)=q(t)$ y $V(0)=p$ $[q(t), p] \longrightarrow i\hbar\{q(t), p\} = i\hbar\frac{\partial q(t)}{\partial q(0)}$

$$C(t) \sim \hbar^2 e^{2\lambda_L t}$$

- MOTOC (Microcanonical OTOC)
- FOTOC (Fidelity OTOC)

Λ = quantum Lyapunov exponent

MOTOC

$$C_n^{qp}(t) = -\langle \Psi_n | [q(t), p(0)]^2 | \Psi_n \rangle \sim e^{2\Lambda t}$$

$$|\Psi_n\rangle$$

Hamiltonian eigenstates

FOTOC

$$F(t) = \langle \hat{W}^\dagger(t) \hat{V}^\dagger \hat{W}(t) \hat{V} \rangle$$

$$\hat{W} = e^{i\delta\phi\hat{G}}$$

$$\hat{V} = |\Psi_0\rangle\langle\Psi_0|$$

$$\frac{1 - F(t)}{(\delta\phi)^2} \approx (\langle \hat{G}(t)^2 \rangle - \langle \hat{G}(t) \rangle^2) \equiv \sigma_G^2(t) \sim e^{2\Lambda t}$$

Dicke Hamiltonian

$$H = \omega a^\dagger a + \omega_o J_z + \frac{\gamma}{\sqrt{N}} (a + a^\dagger) (J_+ + J_-)$$

↑ Bosons energy
↑ Two levels' energy splitting
↑ Interaction term
 $\hbar = 1$

$$J_z = \frac{1}{2} \sum_{i=1}^N \sigma_{iz} = \frac{1}{2} (N_e - N_g)$$

$$J_\pm = \frac{1}{2} \sum_{i=1}^N \sigma_{i\pm}$$

$J = N/2$

One of the simplest autonomous Hamiltonian (time independent) with regular and **chaotic** regimes.
Excellent playground to test ideas.

Experimentally accesible
on different platforms

PHYSICAL REVIEW A

covering atomic, molecular, and optical physics and quantum information

Highlights Recent Accepted Collections Authors Referees Search Press About Staff

Dicke-model simulation via cavity-assisted Raman transitions

Zhiqiang Zhang, Chern Hui Lee, Ravi Kumar, K. J. Arnold, Stuart J. Masson, A. L. Grimsmo, A. S. Parkins, and M. D. Barrett
Phys. Rev. A **97**, 043858 – Published 25 April 2018

PHYSICAL REVIEW A

covering atomic, molecular, and optical physics

Highlights Recent Accepted Collections Authors Referees Search Press

Ultrastrong-coupling phenomena beyond the Dicke model

Tuomas Jaako, Ze-Liang Xiang, Juan José García-Ripoll, and Peter Rabl
Phys. Rev. A **94**, 033850 – Published 27 September 2016

PHYSICAL REVIEW LETTERS

Highlights Recent Accepted Collections Authors Referees Search Press About

Verification of a Many-Ion Simulator of the Dicke Model Through Slow Quenches across a Phase Transition

A. Safavi-Naini, R. J. Lewis-Swan, J. G. Bohnet, M. Gärttner, K. A. Gilmore, J. E. Jordan, J. Cohn, J. K. Freericks, A. M. Rey, and J. J. Bollinger
Phys. Rev. Lett. **121**, 040503 – Published 27 July 2018

By using coherent states...

Coherent states	
bosonic	$ z\rangle = e^{ z ^2/2} e^{za^\dagger} 0\rangle_b$
$z, \alpha \in \mathcal{C}$	
pseudospin	$ \alpha\rangle = \frac{1}{(1+ \alpha ^2)^j} e^{\alpha J_+} jm = -j\rangle$

We can define a classical Hamiltonian:

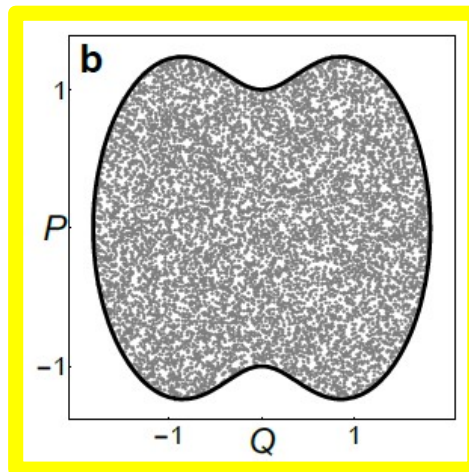
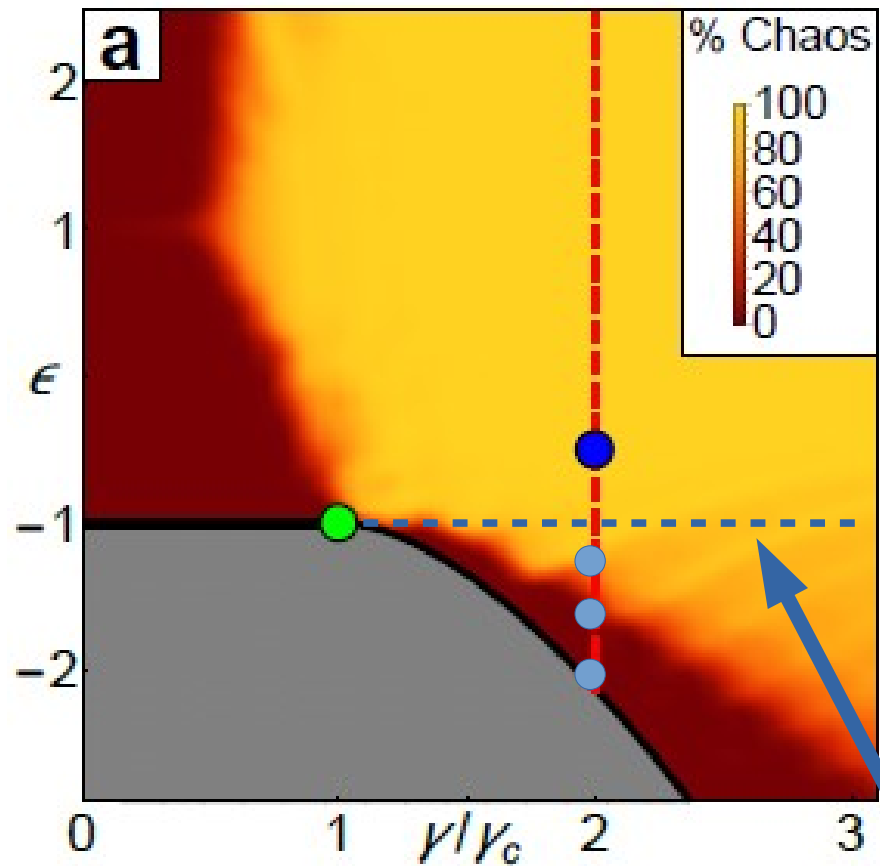
$$\langle \alpha, z | H | \alpha, z \rangle / \mathbf{j} = \frac{\omega}{2}(q^2 + p^2) - \omega_0 + \frac{\omega_0}{2}(Q^2 + P^2) + 2\gamma \sqrt{1 - \frac{1}{4}(Q^2 + P^2)} qQ$$

$$z = \sqrt{j/2}(q + ip)$$

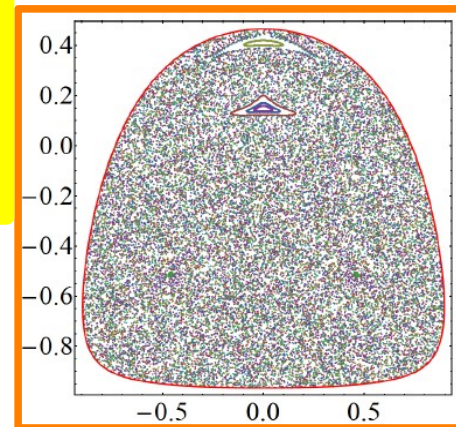
$$\alpha = (Q - iP) / \sqrt{4 - (Q^2 + P^2)}$$

$$\hbar_{eff} = 1/J$$

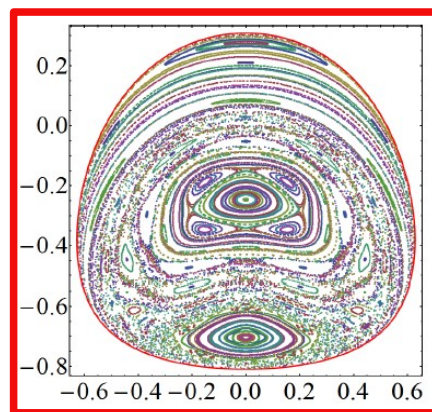
Regular to chaotic transition very well characterized in the classical version



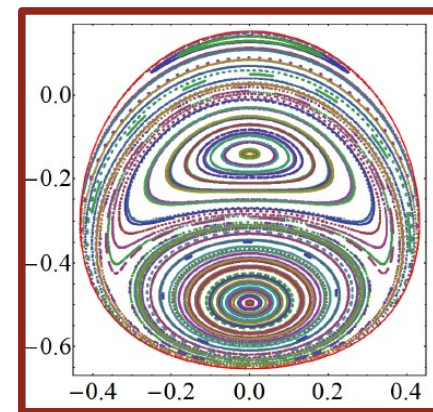
$E/J = -0.5$



$E/J = -1.1$

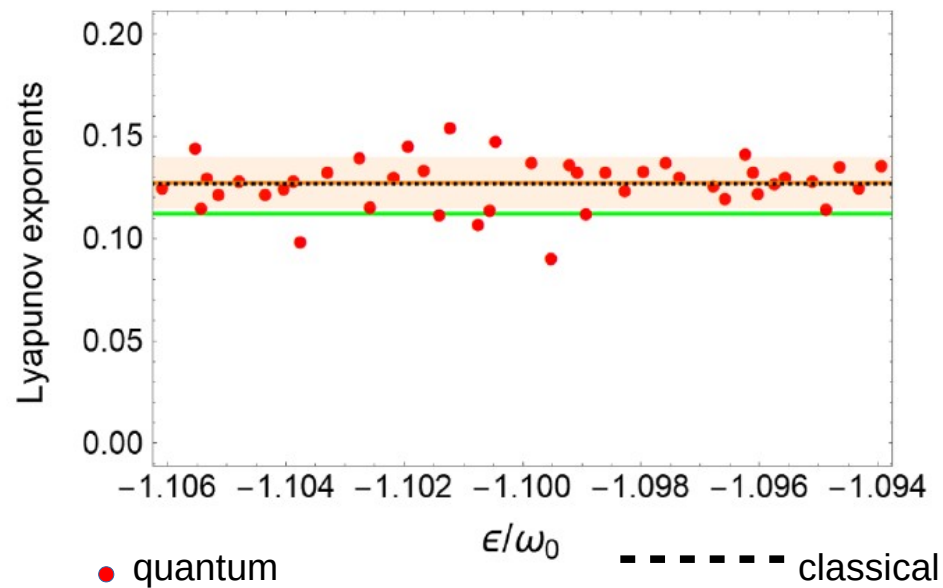
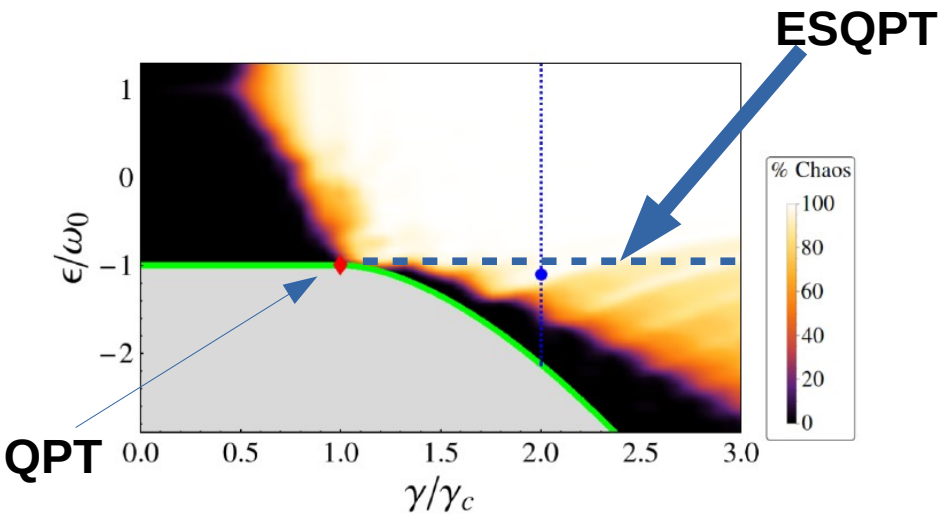


$E/J = -1.5$



$E/J = -1.8$

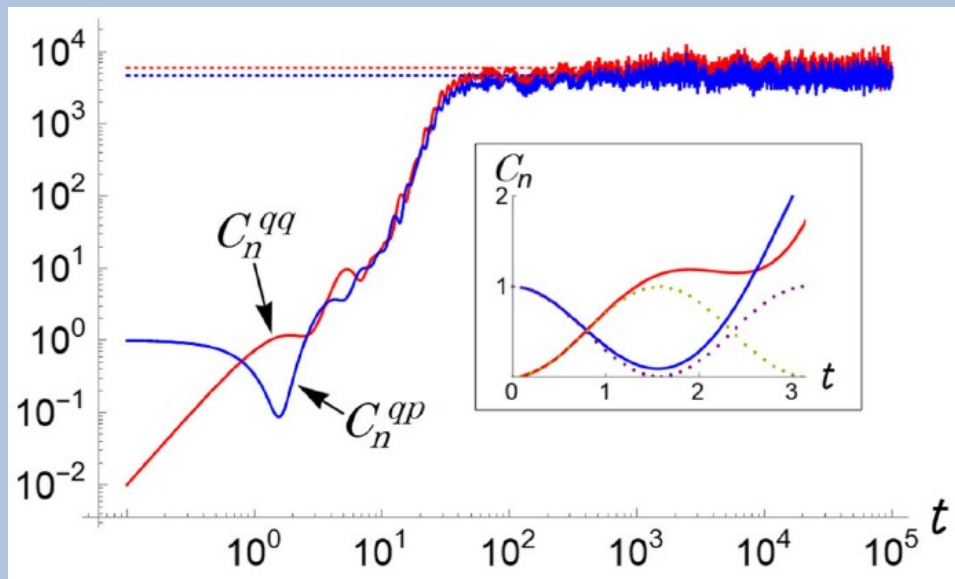
ESQPT




MOTOC in chaotic energy region

$$C_n^{qq}(t) = -\langle \Psi_n | [q(t), q(0)]^2 | \Psi_n \rangle$$

$$C_n^{qp}(t) = -\langle \Psi_n | [q(t), p(0)]^2 | \Psi_n \rangle$$



Unifying scrambling, thermalization and entanglement through measurement of fidelity out-of-time-order correlators in the Dicke model

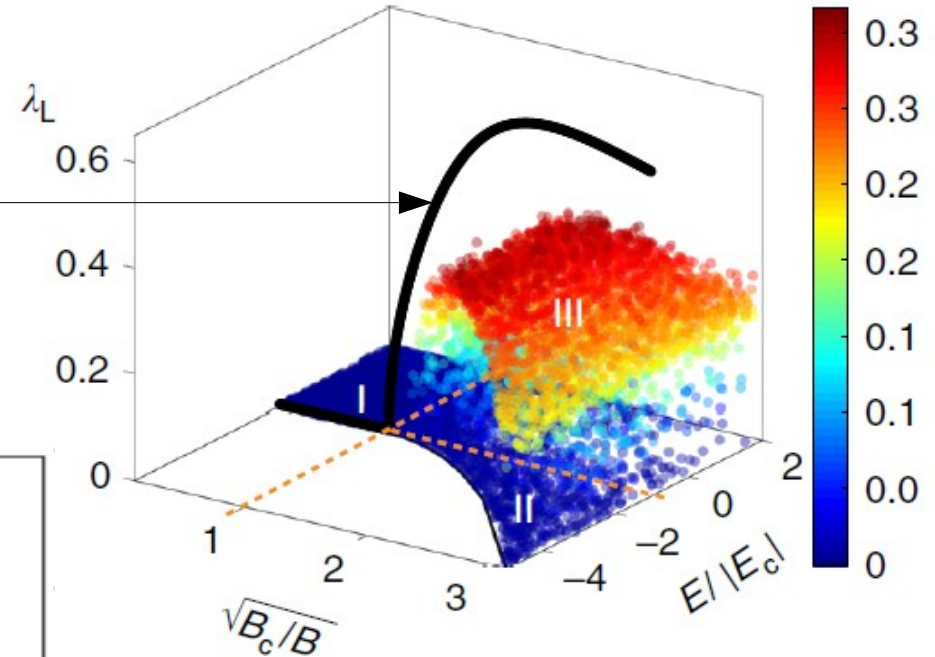
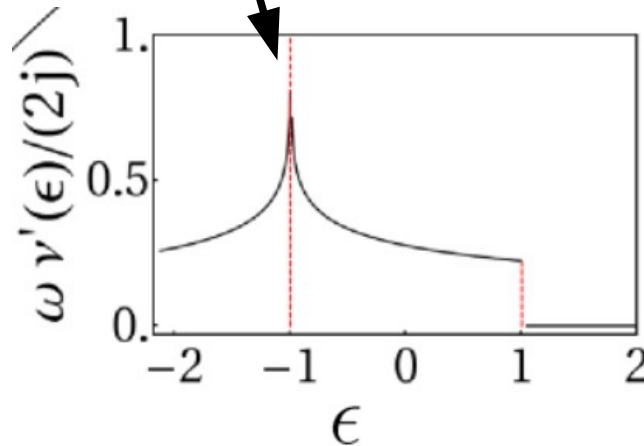
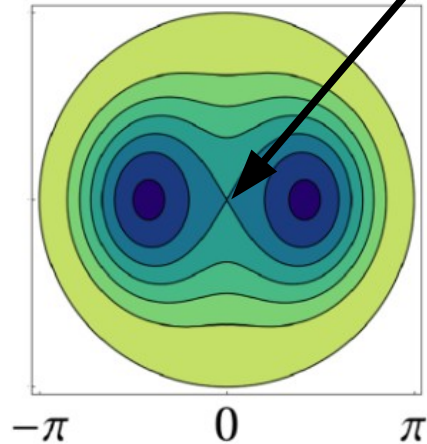
R. J. Lewis-Swan, A. Safavi-Naini, J. J. Bollinger & A. M. Rey 

Nature Communications **10**, Article number: 1581 (2019) | [Cite this article](#)

Lyapunov exponent

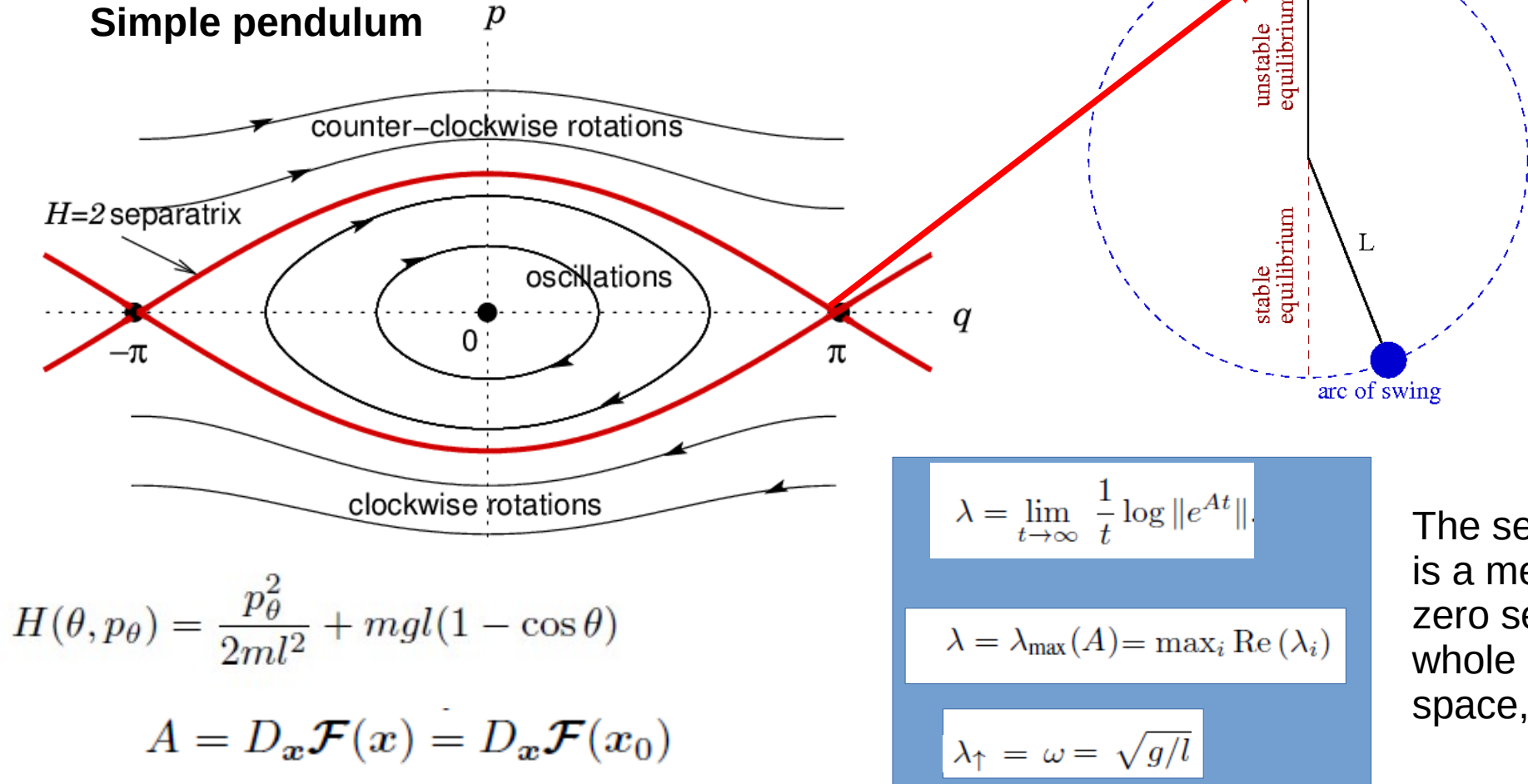
Large Lyapunov exponents at the ESQPT energy

Classical phase space



1st derivative of the density of states

Important fact: positive Lyapunov exponents also in regular systems, associated to hyperbolic unstable fixed points (critical ESQPT energy)

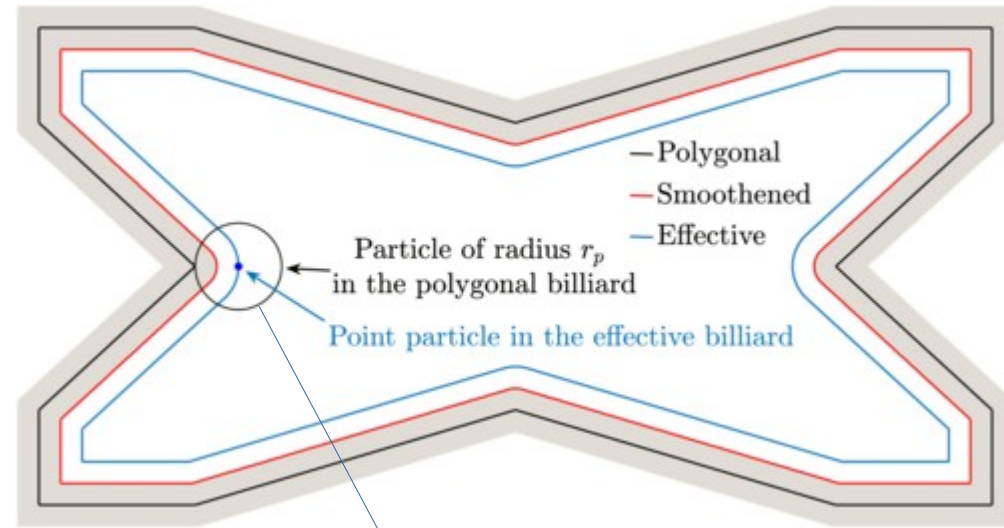


The separatrix, is a measure zero set in the whole phase space, but...

Early-Time Exponential Instabilities in Nonchaotic Quantum Systems

Efim B. Rozenbaum, Leonid A. Bunimovich, and Victor Galitski
Phys. Rev. Lett. **125**, 014101 – Published 1 July 2020

“Certain non-convex polygonal billiards, whose classical Lyapunov exponents are always zero, demonstrate a Lyapunov-like exponential growth of OTOC at early times with an \hbar dependent Lyapunov rate”



$$r_p = \sigma \sqrt{\hbar_{\text{eff}}}/2$$

An extended disk of finite radius (wave function) moves in an effective billiard with positive Lyapunov exponent.

Some results for the Lipkin-Meshkov-Glick model and Dicke model

PHYSICAL REVIEW E

covering statistical, nonlinear, biological, and soft matter physics

[Highlights](#)

[Recent](#)

[Accepted](#)

[Collections](#)

[Authors](#)

[Referees](#)

[Search](#)

[Press](#)

[About](#)

Rapid Communication

Positive quantum Lyapunov exponents in experimental systems with a regular classical limit

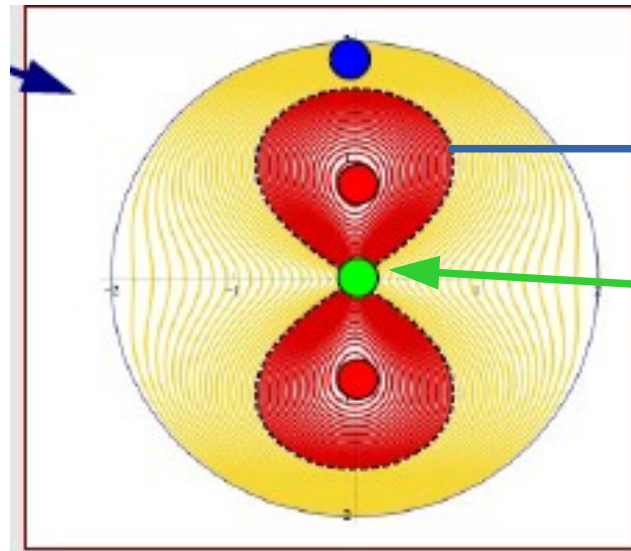
Saúl Pilatowsky-Cameo, Jorge Chávez-Carlos, Miguel A. Bastarrachea-Magnani, Pavel Stránský, Sergio Lerma-Hernández, Lea F. Santos, and Jorge G. Hirsch

Phys. Rev. E **101**, 010202(R) – Published 22 January 2020

Simpler one degree-of-freedom model: **Lipkin-Meshkov-Glick model**

$$\hat{H}_{\text{LMG}} = \Omega \hat{J}_z + \frac{2\xi}{N} \hat{J}_x^2$$

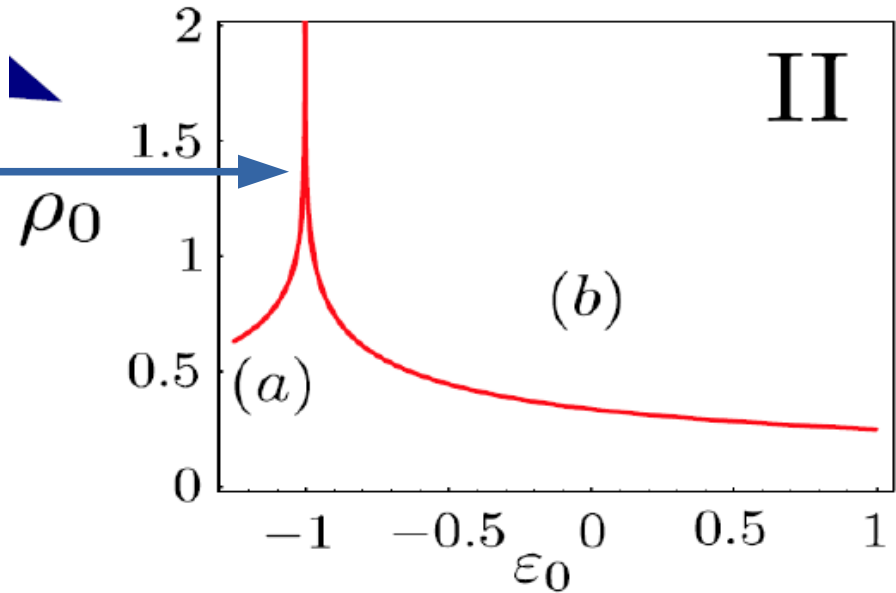
Classical Phase space



ESQPT

Hyperbolic
fixed point

Density of states



$$H_{\text{LMG}}(Q, P) = \frac{\Omega}{2}(Q^2 + P^2) - \Omega + \xi \left(Q^2 - \frac{Q^2 P^2}{4} - \frac{Q^4}{4} \right)$$

Calculating classical and quantum Lyapunov exponent at ESQPT energy

FOTOC

$$\sigma_Q^2(t) + \sigma_P^2(t) \sim e^{2\Lambda t}$$

Variance of quantum operators Q and P

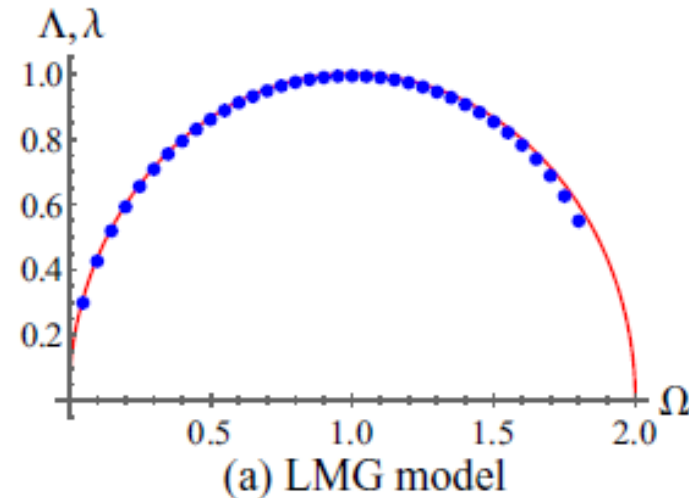
$$|\Psi_0\rangle = (1 + |z|^2)^{-j} e^{z\hat{J}_+} |j, -j\rangle$$

initial coherent states centered at the hyperbolic unstable fixed point ($z=0$)

$$z = (Q - iP)/\sqrt{4 - (Q^2 + P^2)}$$

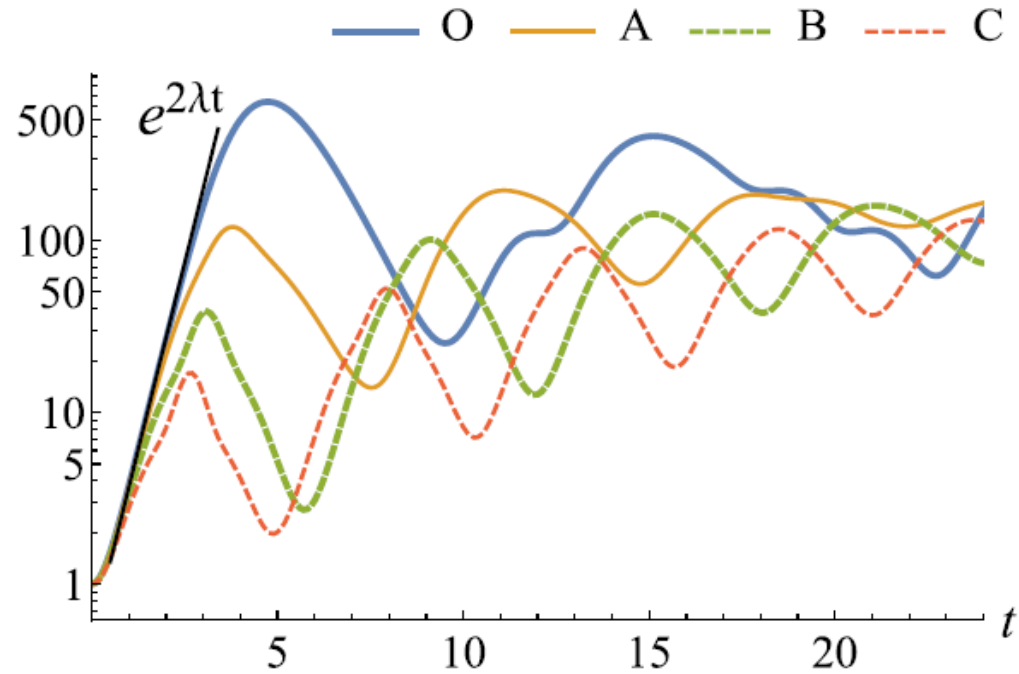
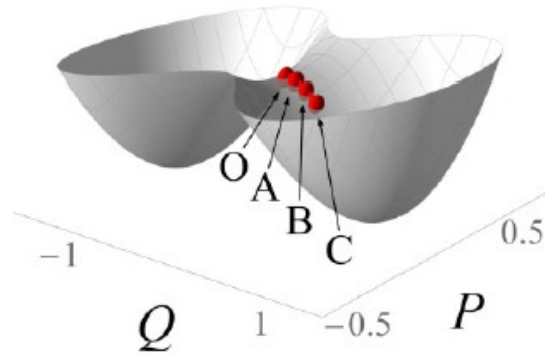
Classically...

$$\lambda = \begin{cases} 0 & \text{if } \Omega \geq -2\xi \\ \sqrt{-(\Omega^2 + 2\Omega\xi)} & \text{if } \Omega < -2\xi \end{cases}$$



Perfect agreement

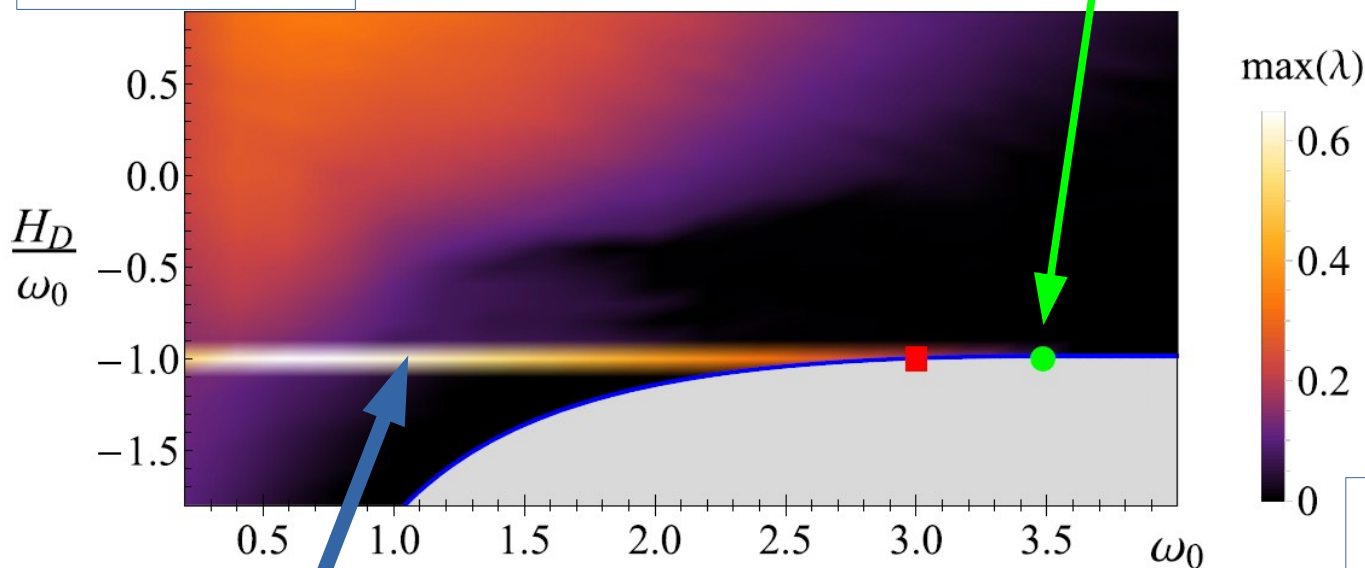
If we move the initial coherent state slightly away from the unstable fixed point



The initial exponential growth given by the classical Lyapunov exponent persists, though for a shorter time interval as we move the coherent state away from the unstable point.

Returning to the Dicke model

$$\omega=0.5, \gamma=0.66$$



ESQPT

QPT

Unstable hyperbolic fixed point:

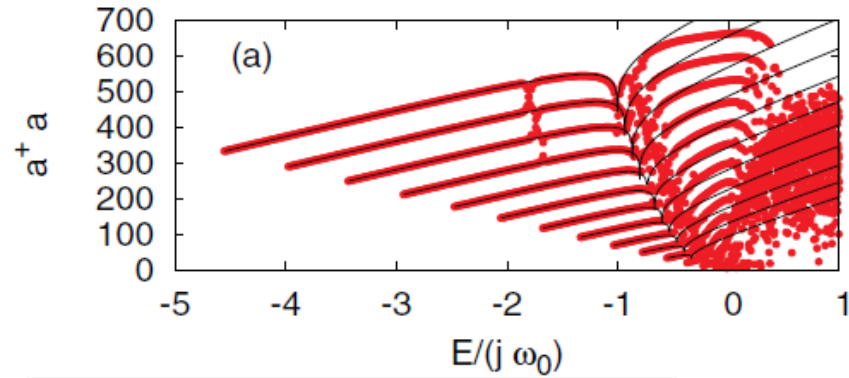
-ESQPT

-Positive classical λ ,
analytically evaluable

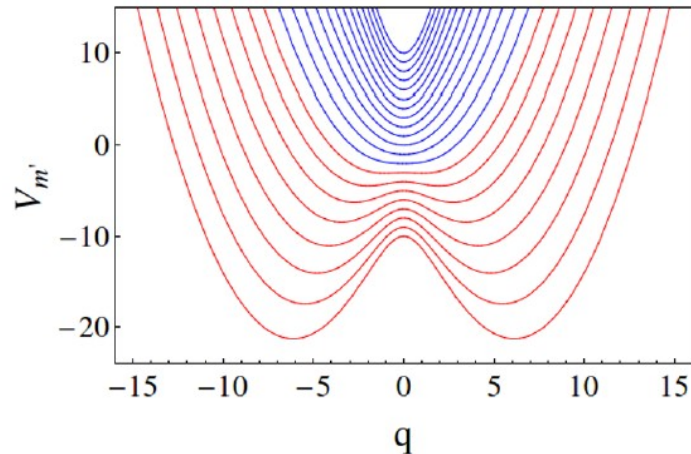
$$\lambda = \frac{1}{\sqrt{2}} \sqrt{-(\omega^2 + \omega_0^2) + \sqrt{(\omega^2 - \omega_0^2)^2 + 16\gamma^2\omega\omega_0}}.$$

For $2 < \omega_0 < 3.5$ the ESQPT is surrounded by regular dynamics ($\lambda=0$).
(Fast-slow dynamical separability of atomic and bosonic degrees of freedom)

For $\omega_0 \gg \omega$ the Dicke Hamiltonian is adiabatically separable



Sequence of ESQPTs



$$H(p, q) = \frac{\omega}{2} (p^2 + q^2) + \omega_0 \sqrt{1 + f^2 \frac{\omega}{\omega_0} \frac{q^2}{j}} \hat{J}_z,$$

$$\omega_0 \hat{J}_z + \frac{2\gamma}{\sqrt{j}} q \hat{J}_x = \sqrt{\omega_0^2 + \left(\frac{2\gamma q}{\sqrt{j}} \right)^2} \hat{J}_{z'},$$

$$E_{m'}(p, q) = \frac{\omega}{2} (p^2 + q^2) + \omega_0 \sqrt{1 + f^2 \frac{\omega}{\omega_0} \frac{q^2}{j}} m'$$

Effective potentials for the slow bosonic variables

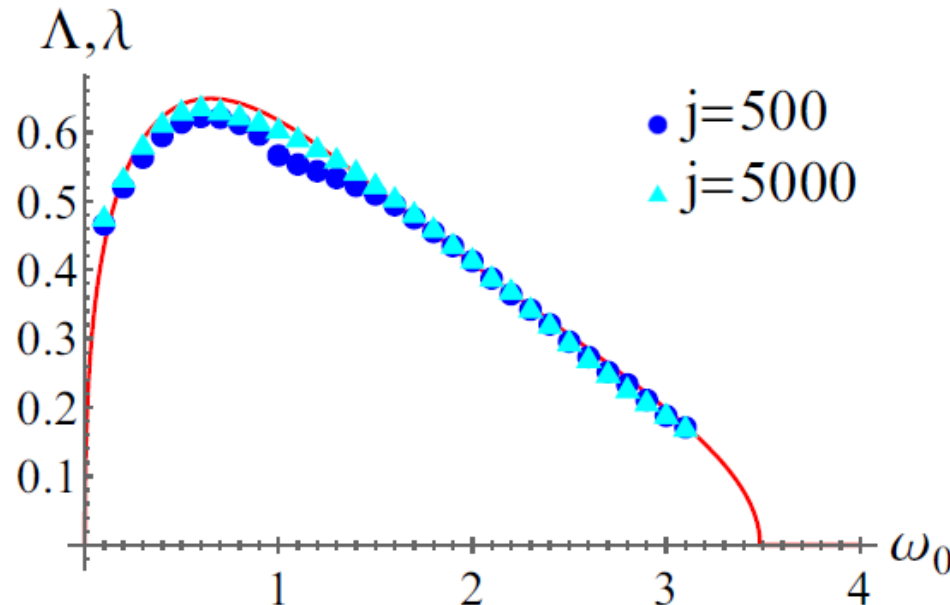
$$V_{m'}(q) = \frac{\omega}{2} q^2 + \omega_0 \sqrt{1 + \frac{\omega}{\omega_0} \left(\frac{f q}{\sqrt{j}} \right)^2} m'.$$

Approximated integrability of the Dicke model
A Relaño, MA Bastarrachea, S Lerma EPL 116 50005 (2016)

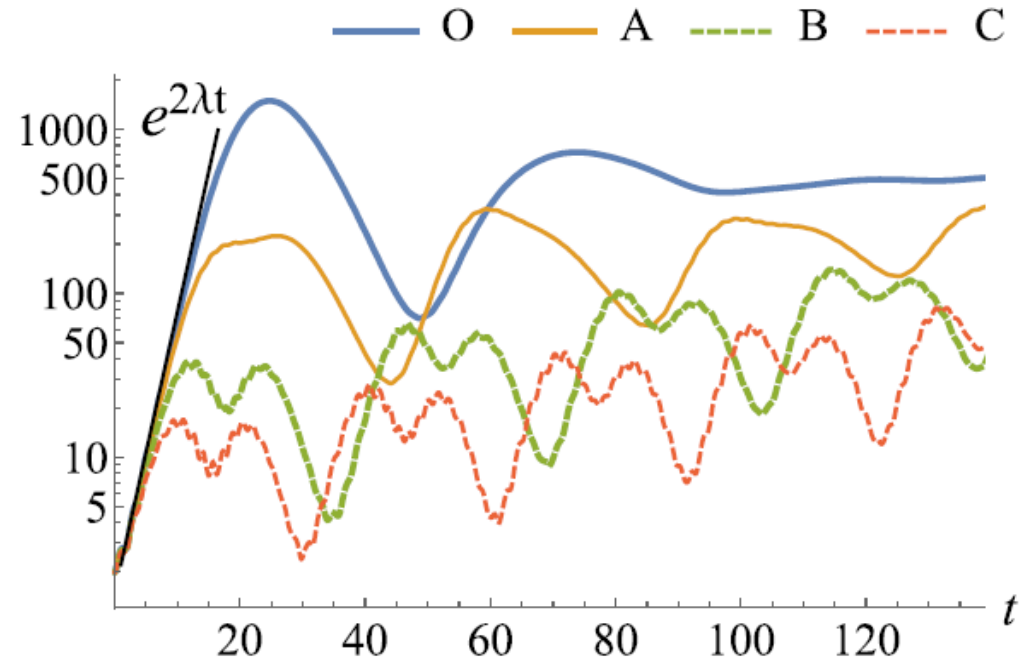
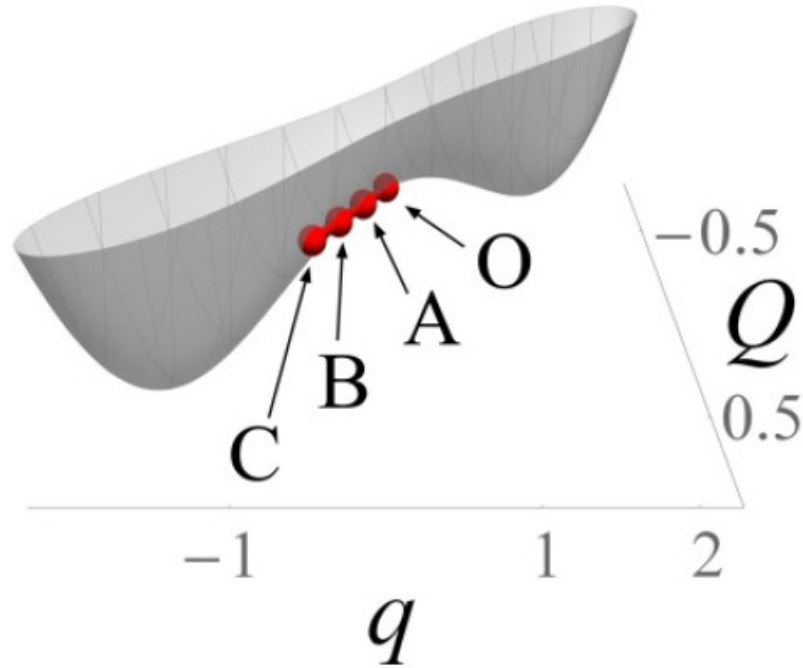
Calculating the FOTOC in the Dicke model, for initial coherent states centered in the hyperbolic fixed point ($z=\alpha=0$).

$$|\Psi_0\rangle = |z\rangle |\alpha\rangle$$

$$\sigma_Q^2(t) + \sigma_P^2(t) + \bar{\sigma}_q^2(t) + \sigma_p^2(t) \sim e^{2\Lambda t}$$



Again, very good agreement.



Again, if we move the initial coherent state slightly away from the unstable fixed point, the exponential growth given by the Lyapunov exponent persists.

But... all the previous results are classical !!

The temporal dependence of observables can be approximated by the Truncated Wigner Approximation (TWA)

$$\boxed{\mathbf{x}(t)}$$

Classical trajectory

$$\langle \hat{x}_i^n(t) \rangle = \int W(\mathbf{x}, t) x_i^n d\mathbf{x} \approx \int W(\mathbf{x}) x_i(t)^n d\mathbf{x}$$

$W(\mathbf{x})$ Wigner function of the initial state
(classical Liouville distribution in phase space in the TWA)

For coherent states, simple expression for the Wigner function

bosonic

$$W_{q_0, p_0}(q, p) = \frac{j}{\pi} e^{-j\Delta^2}$$

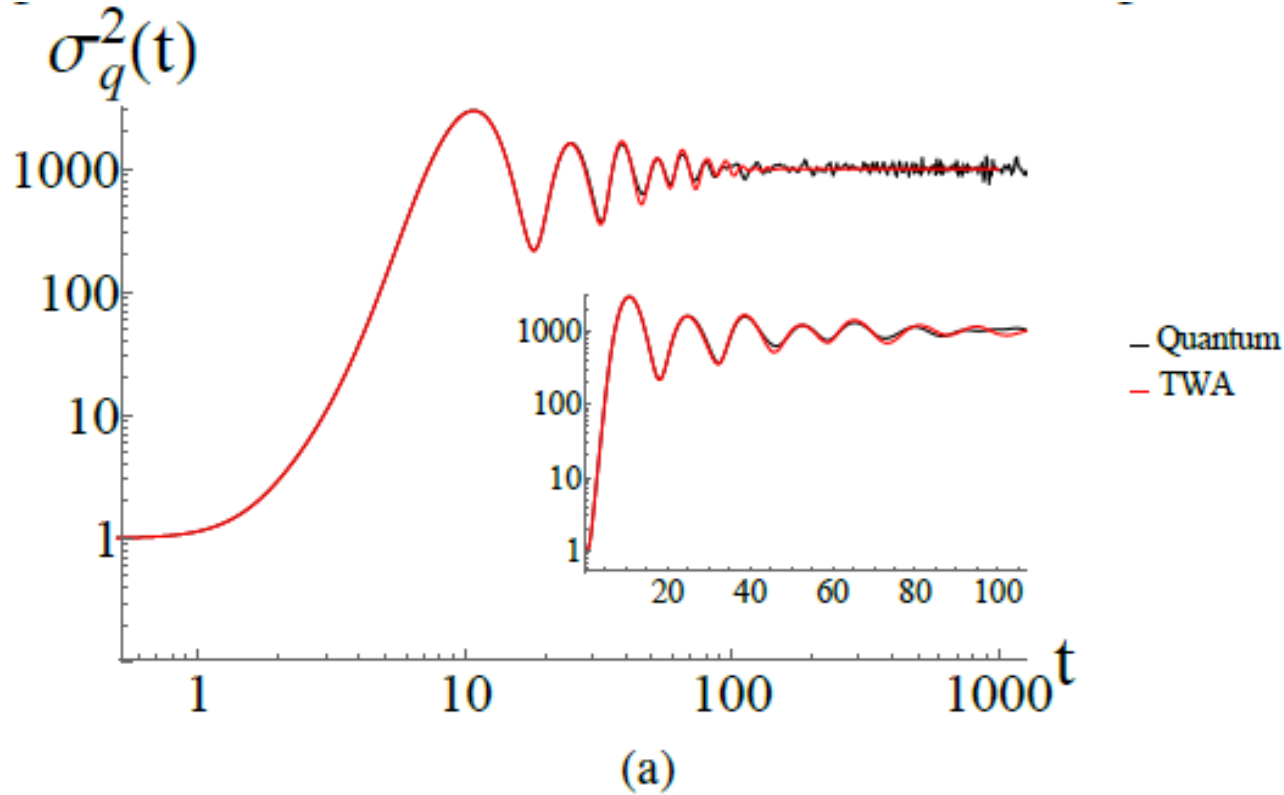
$$\Delta = \sqrt{(q - q_0)^2 + (p - p_0)^2}$$

atomic

$$W_{\theta_0, \phi_0}(\theta, \phi) \approx \frac{j}{\pi} e^{-j\Theta^2}$$

$$\cos \Theta = \cos \theta \cos \theta_0 + \sin \theta \sin \theta_0 \cos(\phi - \phi_0)$$

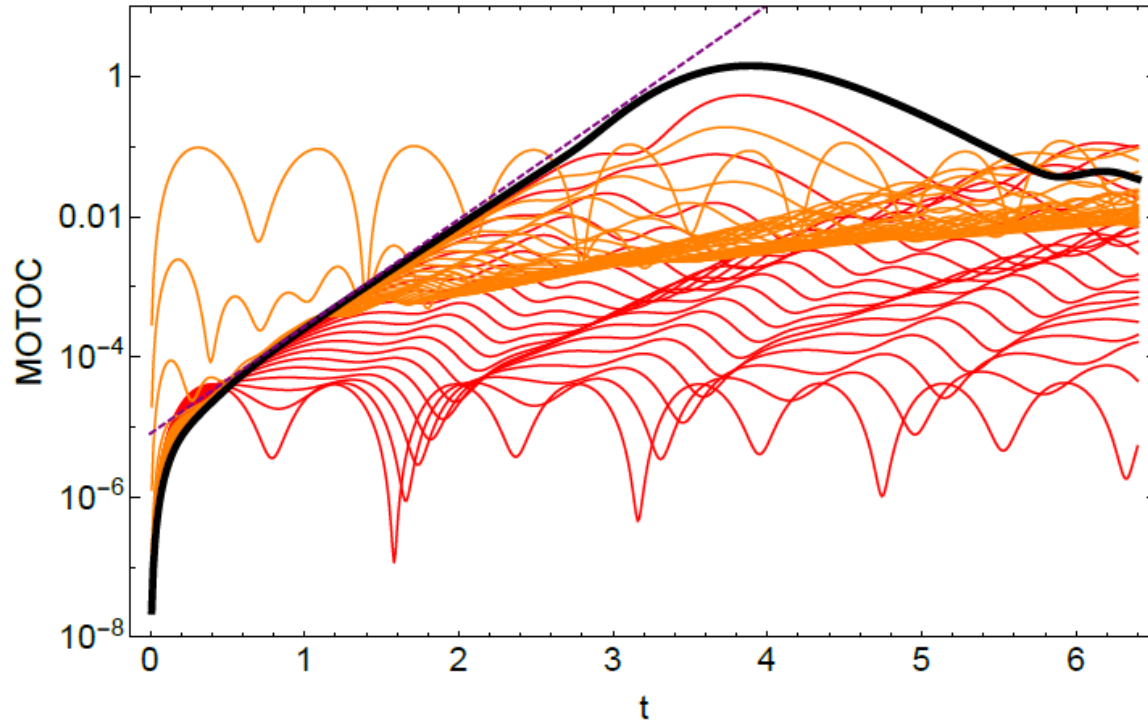
Dicke model result for a coherent state centered at the hyperbolic unstable fixed point with ESQPT energy



The exact quantum and classical (TWA approximated) variance of the observables coincide at short temporal scales

MOTOC in the Lipkin-Meshkov-Glick model (unpublished)

$$C_k^{QQ}(t) = -\langle E_k | [\hat{Q}(t), \hat{Q}_o]^2 | E_k \rangle$$



Initial growth of the MOTOC
for Hamiltonian eigenstates of
the LMG model

Above ESQPT

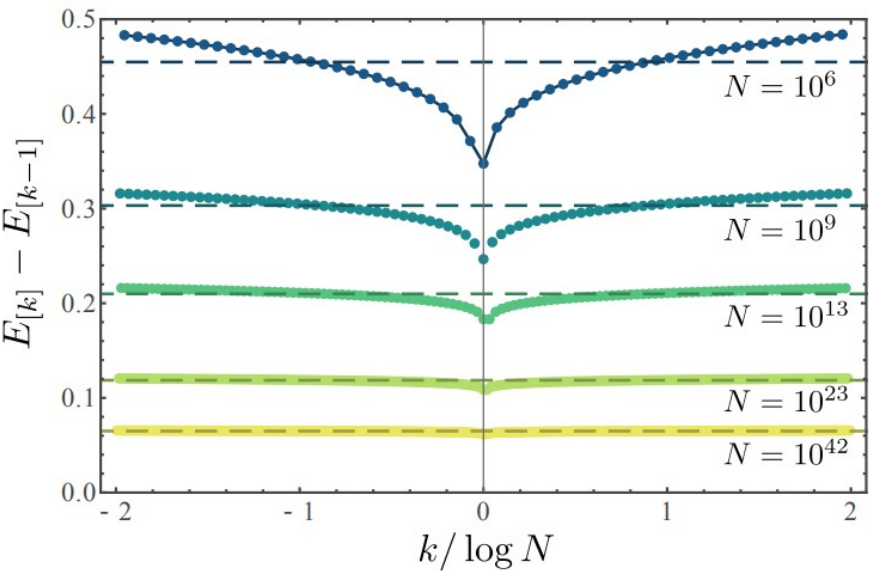
ESQPT

Below ESQPT

Is this behaviour describable by the TWA?

Analysis beyond the classical approximation

$$\bar{\varrho}(E)=\frac{-1}{2\pi\lambda}\log\left(\frac{|E-E_{\text{sep}}|}{\tilde{N}}\right)+\mathcal{O}(1)$$



PHYSICAL REVIEW LETTERS

Highlights

Recent

Accepted

Collections

Authors

Referees

Search

Press

About

Staff

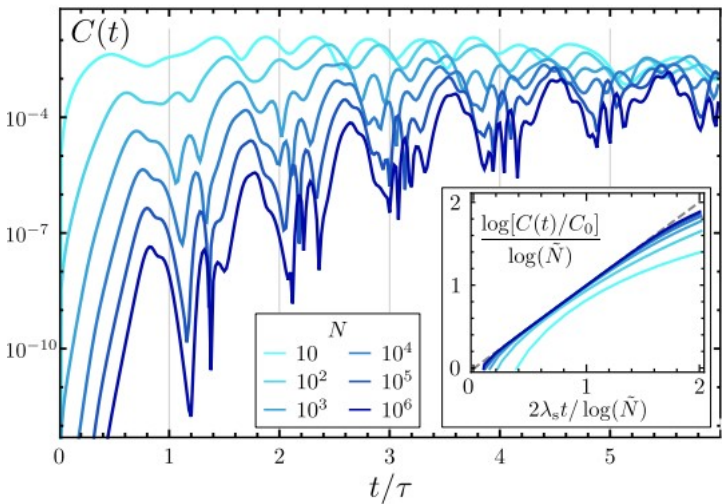
Reversible Quantum Information Spreading in Many-Body Systems near Criticality

Quirin Hummel, Benjamin Geiger, Juan Diego Urbina, and Klaus Richter

Phys. Rev. Lett. **123**, 160401 – Published 15 October 2019

Bunch of levels accumulating at the ESQPT energy become equally spaced as $N \rightarrow \infty$

yielding periodic unscramblings at long temporal scales (larger than T_H)



Other related works

PHYSICAL REVIEW LETTERS

Highlights Recent Accepted Collections Authors

Editors' Suggestion

Does Scrambling Equal Chaos?

Tianrui Xu, Thomas Scaffidi, and Xiangyu Cao
Phys. Rev. Lett. **124**, 140602 – Published 7 April 2020

“... exponential growth of out-of-time order correlators (OTOCs),... can simply result from the presence of unstable fixed points in phase space, even in a classically integrable model.”

Regular Article - Theoretical Physics | [Open Access](#) | Published: 13 November 2020

Exponential growth of out-of-time-order correlator without chaos: inverted harmonic oscillator

[Koji Hashimoto](#), [Kyoung-Bum Huh](#), [Keun-Young Kim](#) & [Ryota Watanabe](#) 

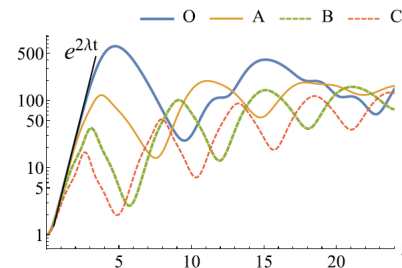
[Journal of High Energy Physics](#) **2020**, Article number: 68 (2020) | [Cite this article](#)

“...exponential growth of the thermal OTOC does not necessarily mean chaos when the potential includes a local maximum.”

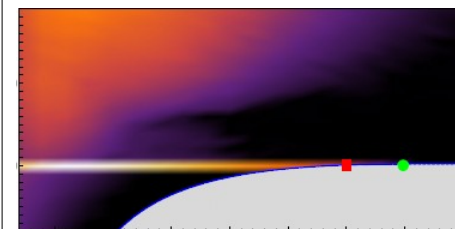
Conclusions:

- Initial exponential growth of OTOCs associated with hyperbolic unstable fixed points and its corresponding separatrices, even for non-chaotic systems.
- The exponential growth of OTOCs is a reliable indicator of a kind of Excited-state-quantum phase transitions.
- Confirmed explicitly by our group for the LMG and Dicke models, and also for other models by other groups.
- The exponential growth, since it happens before the Ehrenfest time, is a classical result describable by the Truncated Wigner Approximation (TWA).
- As in classical mechanics, a positive Lyapunov exponent does not necessarily imply chaos.

Thank you!



esqpt
seminar series
2021



Huelva, 2021