Critical phenomena in the quantum Rabi model

Ground, excited-state and dynamical quantum phase transitions in a finite-component system

Ricardo Puebla ESQPT2021 Fourth Seminar 9th April 2021





Outline

- Introduction and finite-component phase transitions
- Quantum Rabi model (QRM)
 - Quantum phase transition (QPT)
 - Excited-state quantum phase transitions (ESQPTs)
 - Dynamical quantum phase transitions (DPTs)
- Single trapped-ion experiment
- Summary

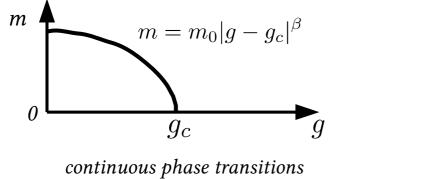
M.-J. Hwang, RP, M. B. Plenio, *Phys. Rev. Lett.* **115**, 180404 (2015)
RP, M.-J. Hwang, M. B. Plenio, *Phys. Rev. A* **94**, 023835 (2016)
RP, M.-J. Hwang, J. Casanova, M. B. Plenio, *Phys. Rev. Lett.* **118**, 073001 (2017)
RP, *Phys. Rev. B* **102**, 220302(*R*) (2020)

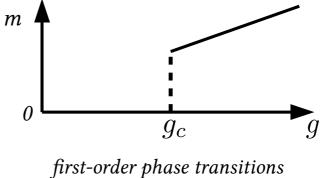
From statistical mechanics

Partition function kth microstate configuration σ_k $H(\sigma_k)$ energy of the kth microstate Macroscopic value of A

Helmholtz free energy

• Different phases of matter can be characterized in terms of an order parameter: $m = \frac{\partial h}{\partial g}$





K. Huang, Statistical mechanics

From statistical mechanics

$$Z = \sum_{k} e^{-H(\sigma_k)/k_b T} \longrightarrow$$
$$\langle A \rangle = \sum_{k} A_k e^{-H(\sigma_k)/k_b T}/Z$$

 $h = -k_b T \log Z$

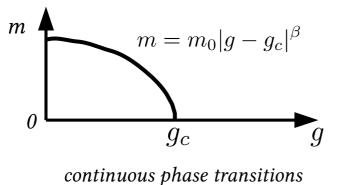
Partition function kth microstate configuration σ_k $H(\sigma_k)$ energy of the kth microstate

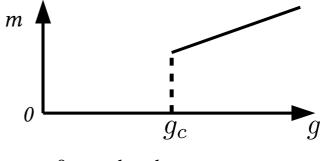
Macroscopic value of A

Helmholtz free energy



• Different phases of matter can be characterized in terms of an order parameter: $m = \frac{\partial h}{\partial g}$





first-order phase transitions

K. Huang, Statistical mechanics

Standard notion for phase transitions:

$$Z = \sum_{k} e^{-H(\sigma_k)/k_bT} \longrightarrow \text{Sum over all microstates of the system}$$

Any finite system (finite number of particles) shows smooth and well-behaved properties

True singularities or criticality only in the thermodynamic limit

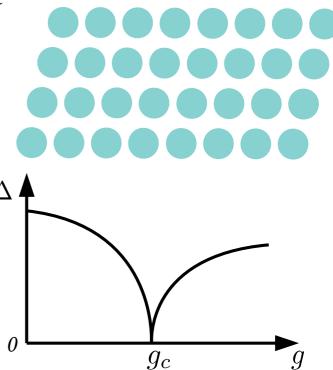
Quantum many-body systems:

Dimension of the Hilbert space for a N spin-1/2 system $\dim\{\mathcal{H}\}=2^N$

In the thermodynamic limit $N \rightarrow \infty$

 $\xi = \xi_0 |g - g_c|^{-\nu}$ $\Delta = \Delta_0 |g - g_c|^{z\nu}$ $m = m_0 |g - g_c|^{\beta}$

The ground state is spanned by this infinitely large Hilbert space



S. Sachdev, Quantum phase transitions

Finite-component systems

Is it possible to observe a phase transition in a finite-component quantum system?

Quantum systems with an unbounded Hilbert space ${\cal H}$

Ground state must by spanned by an infinitely large number of states within ${\cal H}$

This can be achieved by tunning the system's parameters: Critical behavior appears in a limiting case $\eta\to\infty$

 $dim\{\mathcal{H}\} = 2^{N}$ $N \rightarrow \infty$ $\xi = \xi_{0}|g - g_{c}|^{-\nu}$ $\Delta = \Delta_{0}|g - g_{c}|^{z\nu}$ $m = m_{0}|g - g_{c}|^{\beta}$

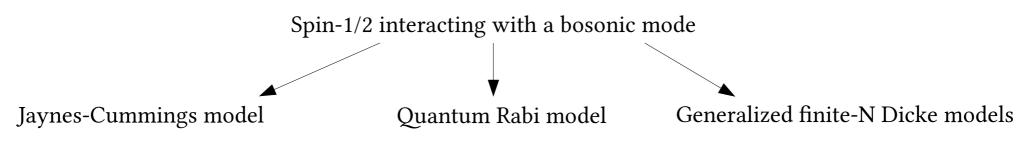
VS.



 $\dim\{\mathcal{H}\} = \infty$ $\eta \to \infty$ $\xi \neq \xi_0 |g - g_c|^{-\nu}$ $\Delta = \Delta_0 |g - g_c|^{z\nu}$ $m = m_0 |g - g_c|^{\beta}$

Finite-component phase transitions

Different models featuring finite-component phase transitions:



- Rich phenomenology (QPT, dissipative phase transitions, ESQPTs, DPTs, etc.)
- Different universality classes (distinct critical exponents)

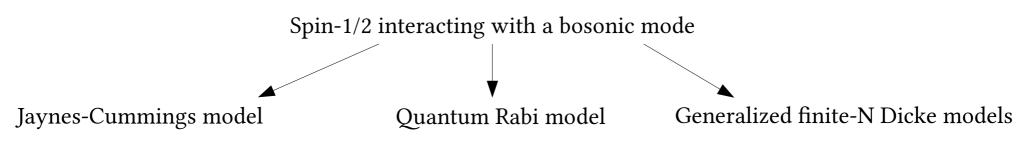
Theory: Phys. Rev. Lett. **115**, 180404 (2015), Phys. Rev. Lett. **117**, 123602 (2016), Phys. Rev. A **94**, 023835 (2016), Phys. Rev. A **97**, 013825 (2018), ...

Finite-Component Multicriticality at the Superradiant Quantum Phase Transition, H.-J. Zhu et al., *Phys. Rev. Lett.* **125**, 050402 (2020)

Experiment: Nat. Comm. **12**, 1126 (2021) *Applications*: Phys. Rev. Lett. **124**, 230602 (2020), Phys. Rev. Lett. **124**, 120504 (2020), Phys. Rev. Lett. **126**, 010502 (2021)

Finite-component phase transitions

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> Criticality by tunning the system's parameters rather than scaling up the system components

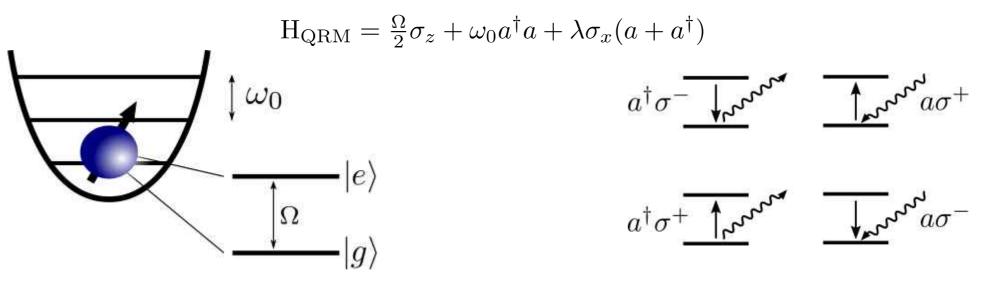
The quantum Rabi model (QRM):

The QRM describes the coherent interaction of a spin with a single bosonic mode

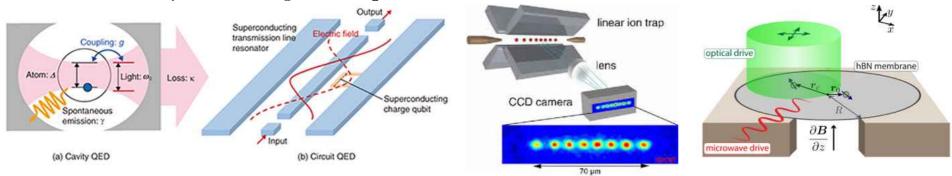
The Hamiltonian exhibits a Z₂ parity symmetry: $[H_{\rm QRM},\Pi] = 0$ with $\Pi = e^{i\pi(a^{\dagger}a + \sigma^{+}\sigma^{-})}$ $\Pi |\phi\rangle = \pm |\phi\rangle$

Single spin-1/2 version of the Dicke model

The quantum Rabi model (QRM):



Relevant in many different quantum platforms:



P. Forn-Diaz *et al.*, *Phys. Rev. Lett.* **105**, 237001 (2010)
D. Lv *et al.*, *Phys. Rev X* **8**, 021027 (2018)
M. Abdi *et al.*, *Phys. Rev. Lett.* **119**, 233602 (2017)

• In the weak coupling: $\lambda \sqrt{\langle n \rangle + 1} \ll |\Omega + \omega_0|$

$$H_{\text{QRM}} \approx H_{\text{JCM}} = \frac{\Omega}{2}\sigma_z + \omega_0 a^{\dagger}a + \lambda(\sigma^+ a + \sigma^- a^{\dagger})$$

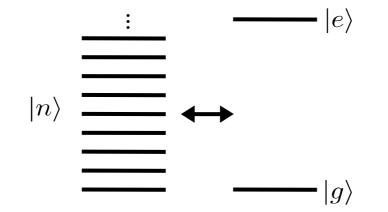
But more interesting physics happen in the strong coupling and beyond

In particular, we are interested in the parameter regime

 $\omega_0 \ll \lambda \ll \Omega$

In the limit $\eta \equiv \Omega/\omega_0 \to \infty$ and $\lambda/\omega_0 \to \infty$ keeping $\lambda/\sqrt{\omega_0\Omega}$ finite, we find a QPT in the QRM

In this manner, the ground state of the QRM can explore the infinitely large Hilbert space





Low-energy effective description

• Low-energy Hamiltonians in the limit $\eta \equiv \Omega/\omega_0 \to \infty$ $g = 2\lambda/\sqrt{\Omega\omega_0}$

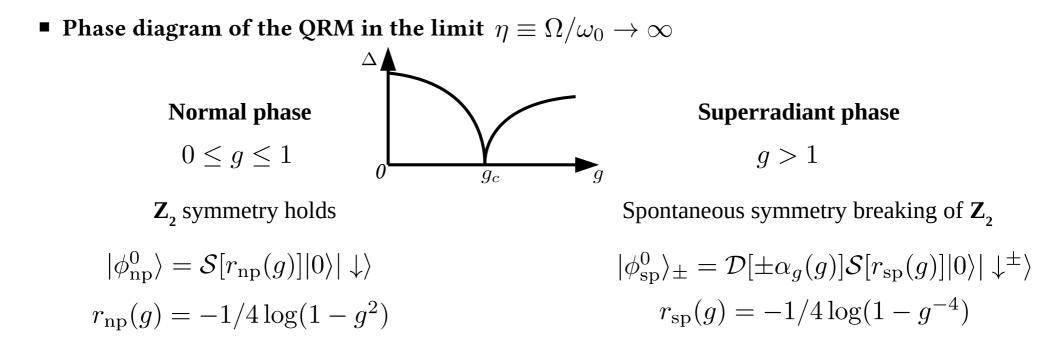
$$\begin{aligned} H_{\rm np} &= \lim_{\eta \to \infty} \langle \downarrow | U^{\dagger} H_{\rm QRM} U | \downarrow \rangle = \omega_0 a^{\dagger} a - \frac{g^2 \omega_0}{4} (a + a^{\dagger})^2 - \frac{\Omega}{2} \qquad g \leq g_c = 1 \\ U &= e^{\lambda/\Omega(a + a^{\dagger})(\sigma^+ - \sigma^-)} \quad \text{Schrieffer-Wolff transformation} \end{aligned}$$

For g>1 we need first to displace the mode and define new spin states

$$a \to a \pm \alpha_g(g) \qquad \qquad \alpha_g^2(g) = \frac{\Omega}{\omega_0} \frac{g^2 - g^{-2}}{4}$$
$$|\downarrow^{\pm}\rangle = \mp \sqrt{\frac{1 - g^{-2}}{2}} |\uparrow\rangle + \sqrt{\frac{1 + g^{-2}}{2}} |\downarrow\rangle$$
$$H_{\rm sp} = \omega_0 a^{\dagger} a - \frac{\omega_0}{4g^4} (a + a^{\dagger})^2 - \frac{\Omega}{4} (g^2 + g^{-2}) \qquad \qquad g > g_c = 1$$

• Both solutions are quadratic, so it can be diagonalized:

$$\Delta(g) = \begin{cases} \omega_0 \sqrt{1 - g^2} & 0 \le g \le 1\\ \omega_0 \sqrt{1 - g^{-4}} & g > 1 \end{cases} \longrightarrow \Delta(g) \propto |g - g_c|^{z\nu} \quad \text{with} \quad z\nu = 1/2 \end{cases}$$

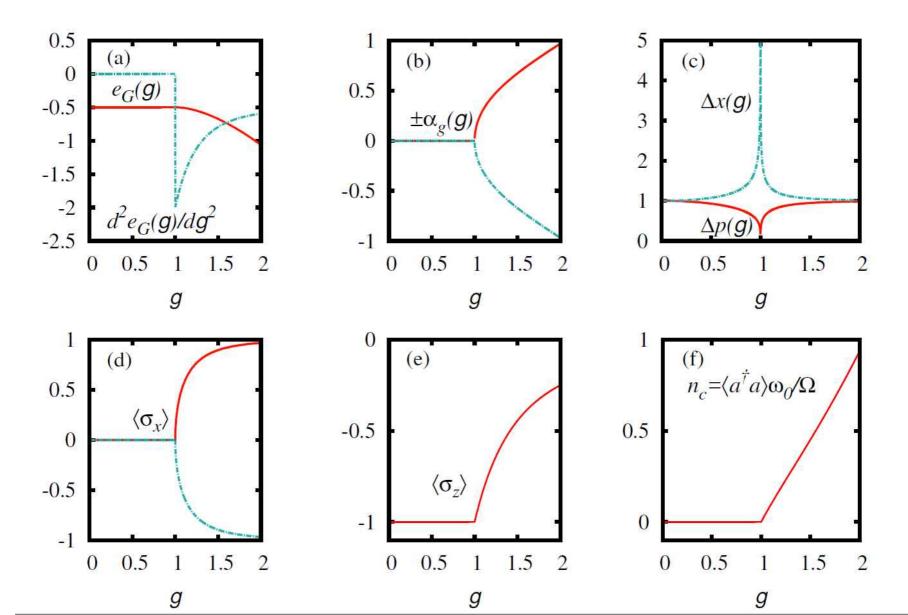


The physics is very similar to the superradiant QPT in the Dicke model, where N atoms are collectively coupled to a bosonic mode

There is no notion of spatial dimension (correlation length is ill-defined) Zero dimensional system d=0Same critical exponents --> both belong to the same universality class

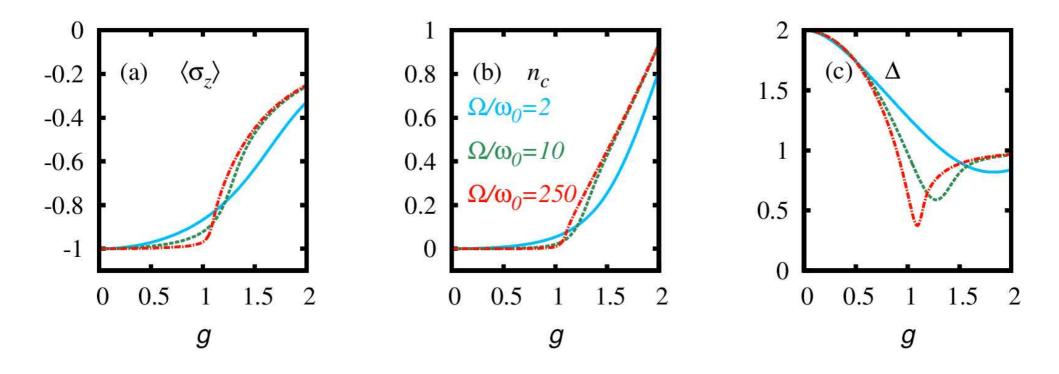
QPT in the **QRM**

- Ground-state properties in the limit $\eta\equiv\Omega/\omega_0
ightarrow\infty$



QPT in the **QRM**

• Ground-state properties for finite $\eta \equiv \Omega/\omega_0$



 \blacksquare Finite values of $\eta\,$ similar effect as finite N in standard quantum many-body systems

Finite-size scaling theory

Finite-η scaling

Recall finite-size scaling theory:

For a standard many-body system in the thermodynamic limit

$$\langle A \rangle^{\infty} = a_0 |g - g_c|^{\alpha} \quad N \to \infty$$

the finite-size scaling hypothesis (based on a coarse graining or renormalization group analysis) states that

$$\langle A \rangle^N = |g - g_c|^{\alpha} f(L/\xi) = |g - g_c|^{\alpha} f(N|g - g_c|^{\nu})$$

where f(x) is a scaling function that must satisfy

$$\lim_{x \to 0} f(x) \propto x^{-\alpha/\nu}$$
$$\lim_{x \to \infty} f(x) = a_0$$

which ensures that no true singularities happen for finite N

At the critical point it follows $~~\left\langle A \right\rangle^{N} \big|_{g=g_{c}} \propto N^{-\alpha/\nu}$

• For finite-component systems, even if there is no correlation length one can apply this in terms of, e.g. $\eta \equiv \Omega/\omega_0$ for the QRM rather than N

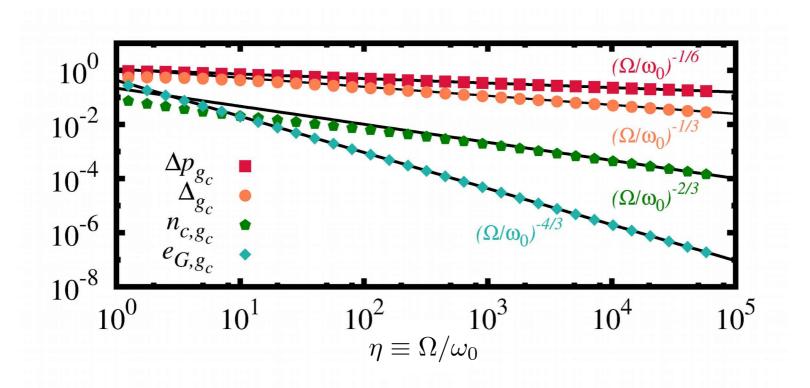
$$\langle A \rangle^{\infty} = a_0 |g - g_c|^{\alpha} \Rightarrow \langle A \rangle^{\eta} = |g - g_c|^{\alpha} f(\eta |g - g_c|^{\nu}) \langle A \rangle^{\eta} |_{g = g_c} \propto \eta^{-\alpha/\nu}$$

M. E. Fisher, M. N. Barber, Phys. Rev. Lett. 28, 1516 (1972); R. Botet et al., Phys. Rev. Lett. 49, 478 (1983)

Finite-η scaling

• For the QRM we find
$$\nu = 3/2$$
 so that $\langle A \rangle^{\eta} \mid_{g=g_c} \propto \eta^{-\alpha/\nu} = \eta^{-2\alpha/3}$

$$\Delta p^{\infty} \propto |g - g_c|^{1/4} \Rightarrow \Delta p^{\eta} |_{g = g_c} \propto \eta^{-1/6}$$
$$\Delta^{\infty} \propto |g - g_c|^{1/2} \Rightarrow \Delta^{\eta} |_{g = g_c} \propto \eta^{-1/3}$$

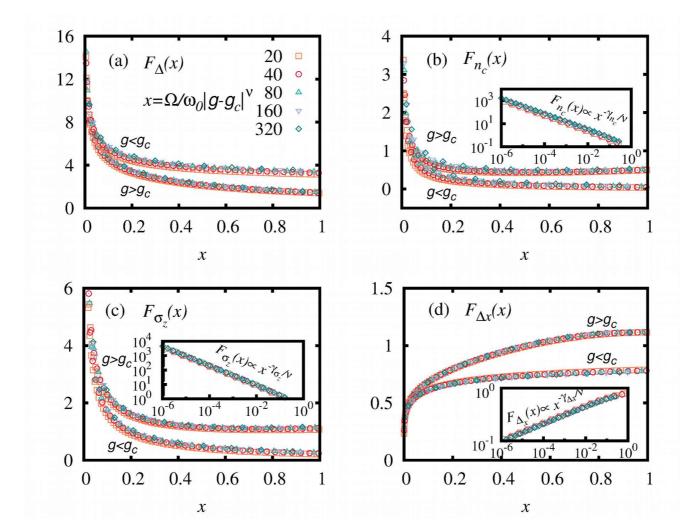


Finite-η scaling functions

In addition, finite-η scaling functions follow from

$$\langle A \rangle^{\eta} = |g - g_c|^{\alpha} f(\eta |g - g_c|^{\nu}) \Rightarrow \langle A \rangle^{\eta} |g - g_c|^{-\alpha} = f(\eta |g - g_c|^{\nu}) = f(x)$$

Finite- η scaling functions depend only on the scaling variable *x* (η -independent function)



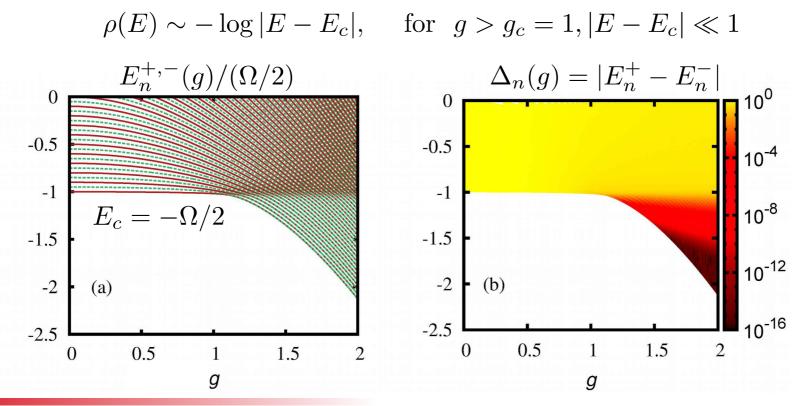


ESQPT in the QRM

Having one degree of freedom f=1 and displaying a QPT, the QRM is a perfect candidate to exhibit an ESQPT

$$\mathbf{H}_{\text{QRM}} = \frac{\Omega}{2}\sigma_z + \omega_0 a^{\dagger}a + g\frac{\sqrt{\Omega\omega_0}}{2}\sigma_x(a+a^{\dagger})$$

From previous works, we expect a density of states diverging logarithmically close to a critical value of the excitation energy $\rm E_c$



P. Cejnar, P. Stransky, M. Macek, M. Kloc, J. Phys. A: Math. Theor. 54, 133001 (2021)

ESQPT in the QRM

We rely on a semiclassical approximation to obtain the density of states

$$H_{\text{QRM}} \to H_{\text{scl}}(p, x, g) / \Omega = \frac{p^2}{2} + \frac{x^2}{2} + \frac{1}{2}\sigma_z - \frac{g}{\sqrt{2}}x\sigma_x$$

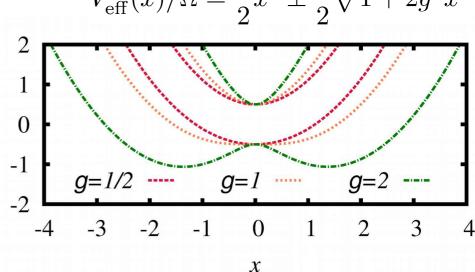
$$x = (a + a^{\dagger}) / \sqrt{2\eta}$$

$$p = i(a^{\dagger} - a) / \sqrt{2\eta}$$

$$V_{\text{eff}}^{\pm}(x) / \Omega = \frac{1}{2}x^2 \pm \frac{1}{2}\sqrt{1 + 2g^2x^2}$$

The effective potential $V_{eff}^{-}(x)$ shows the standard single- to double-well shape

$$x_{\min} = \begin{cases} 0, & g \le 1\\ \pm \frac{1}{\sqrt{2}}\sqrt{g^2 - g^{-2}}, & g > 1 \end{cases}$$



The separatrix takes place at $E_c = -\Omega/2$ for g > 1

ESQPT in the QRM: DoS

The semiclassical density of states (DoS) is given by

$$\rho(E,g) = \frac{1}{2\pi} \int dx dp \, \delta \left[E - H_{\rm scl}(x,p,g) \right]$$

It is then possible to find solutions close to $\epsilon_c = -1$ with $\epsilon = E/|E_c| = 2E/\Omega$

■ For g=1 (at the QPT) we find

$$\rho(\epsilon, g = 1) = \frac{\Gamma(5/4)}{\Gamma(3/4)} \frac{2^{5/4}}{\omega_0 \sqrt{\pi}} |\epsilon - \epsilon_c|^{-1/4} \qquad |\epsilon - \epsilon_c| \ll 1$$

• For g>1 and close to the critical energy $\epsilon_c = -1$

$$\rho(\epsilon, g > 1) \sim \frac{-\log|\epsilon - \epsilon_c|}{\omega_0 \pi \sqrt{g^2 - 1}} + K \qquad |\epsilon - \epsilon_c| \ll 1$$

Logarithmic divergence of the DoS at the ESQPT

ESQPT in the QRM: DoS

• The semiclassical density of states (DoS) is given by

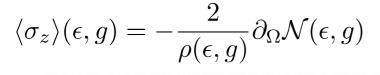
$$\rho(E,g) = \frac{1}{2\pi} \int dx dp \, \delta \left[E - H_{\rm scl}(x,p,g) \right]$$

$$\begin{split} \rho(\epsilon,g=1) &= \frac{\Gamma(5/4)}{\Gamma(3/4)} \frac{2^{5/4}}{\omega_0 \sqrt{\pi}} |\epsilon - \epsilon_c|^{-1/4} \\ \rho(\epsilon,g>1) &\sim \frac{-\log|\epsilon - \epsilon_c|}{\omega_0 \pi \sqrt{g^2 - 1}} + K \\ \text{Points correspond to the quantum averaged DoS for } \eta = 10^3 \\ \overline{\rho}(\epsilon,g) &= N/\Delta \epsilon \end{split}$$

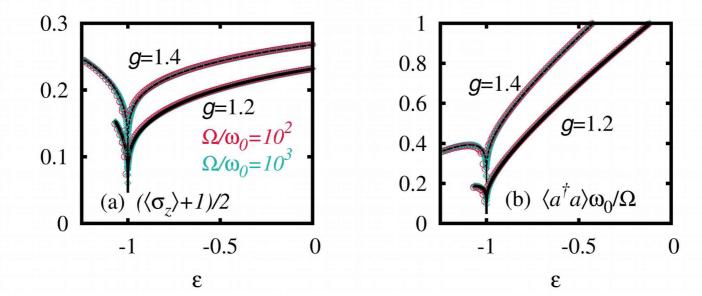
Signatures of the ESQPT

Apart fromt the DoS, relevant quantities display also a critical behavior

$$\langle A \rangle(\epsilon,g) = \frac{1}{\rho(\epsilon,g)} \sum_{k,s=\pm} \langle \varphi_k^s | A | \varphi_k^s \rangle = -\frac{1}{\rho(\epsilon,g)} \frac{\partial}{\partial \beta} \mathcal{N}(\epsilon,g) \quad \text{if} \quad A = \partial_\beta H \\ \mathcal{N}(\epsilon,g) = \int_{-\infty}^{\epsilon} d\epsilon' \rho(\epsilon',g)$$



$$\langle a^{\dagger}a \rangle(\epsilon,g) = -\frac{1}{\rho(\epsilon,g)} \partial_{\omega_0} \mathcal{N}(\epsilon,g)$$

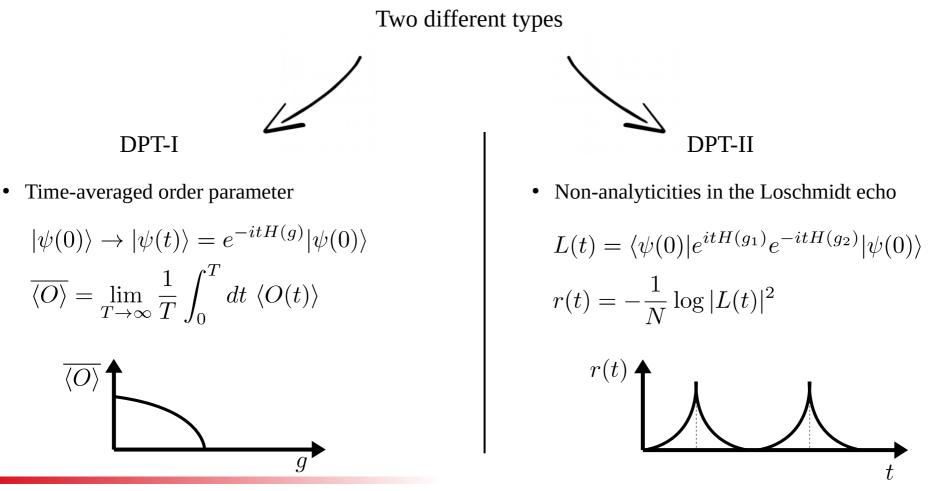






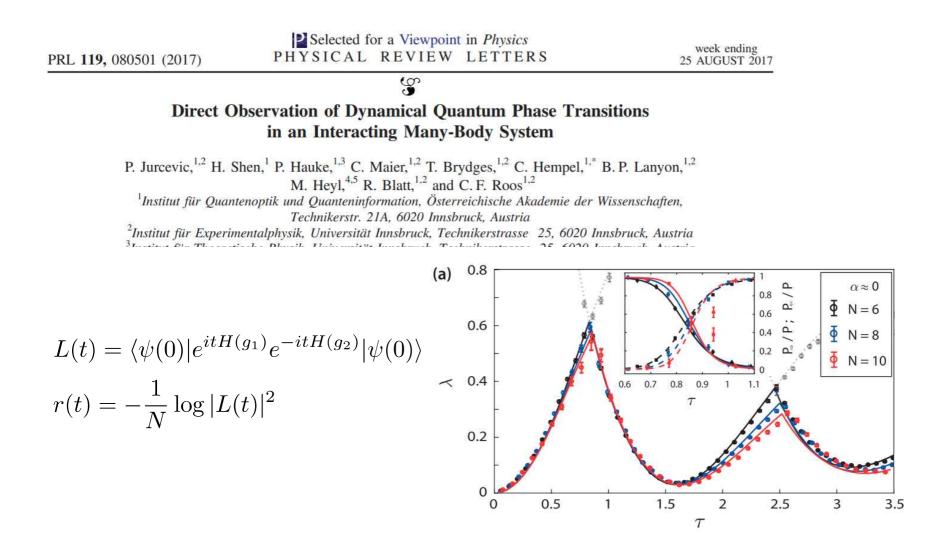
Dynamics upon a sudden quench can reveal the so-called dynamical phase transitions

Non-analytic behavior in the nonequilibrium dynamics rather than in eigenstates



M. Heyl, A. Polkovnikov, and S. Kehrein, *Phys. Rev. Lett.* **110**, 135704 (2013) M. Heyl, *Rep. Prog. Phys.* **81**, 054001 (2018)

Observation of DPTs



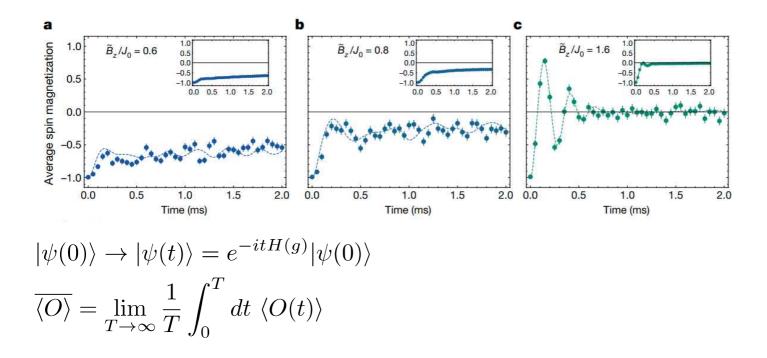
Observation of DPTs

LETTER

doi:10.1038/nature24654

Observation of a many-body dynamical phase transition with a 53-qubit quantum simulator

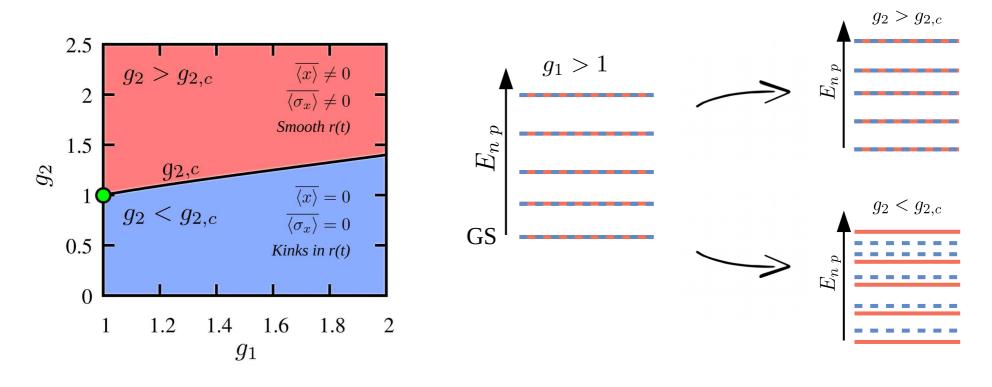
J. Zhang¹, G. Pagano¹, P. W. Hess¹, A. Kyprianidis¹, P. Becker¹, H. Kaplan¹, A. V. Gorshkov¹, Z.-X. Gong¹⁺ & C. Monroe^{1,2}



DPTs in the QRM

$$H_{\rm QRM} = \frac{\Omega}{2}\sigma_z + \omega_0 a^{\dagger}a + g\frac{\sqrt{\Omega\omega_0}}{2}\sigma_x(a+a^{\dagger})$$

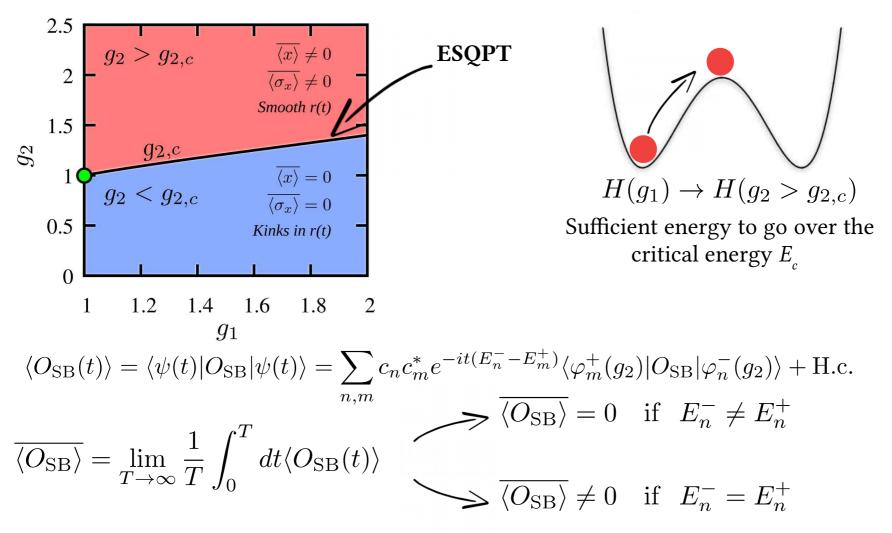
- Start from a symmetry-breaking ground state $g_1 > 1$ and quench it to g_2
- In the limit $\eta \equiv \frac{\Omega}{\omega_0} \to \infty$ we find the non-equilibrium phase diagram $g_{2,c} = \frac{g_1(3+g_1^2)}{2(1+g_1^2)}$



DPTs in the QRM

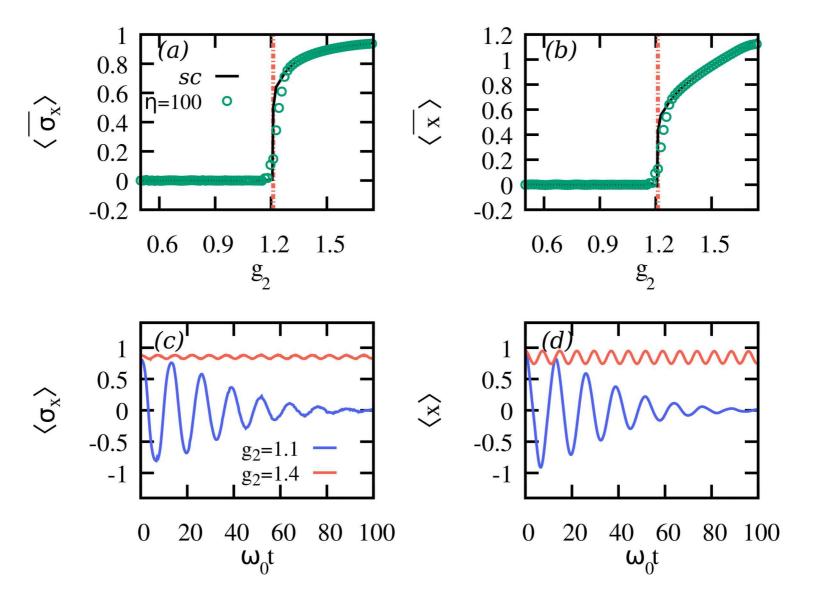
The critical point of the DPT coincides with the position of the ESQPT

$$\langle \psi(0) | H(g_{2,c}) | \psi(0) \rangle \equiv E_c \quad |\psi(0)\rangle = |\varphi_0(g_1)\rangle \Rightarrow g_{2,c} = \frac{g_1(3+g_1^2)}{2(1+g_1^2)}$$



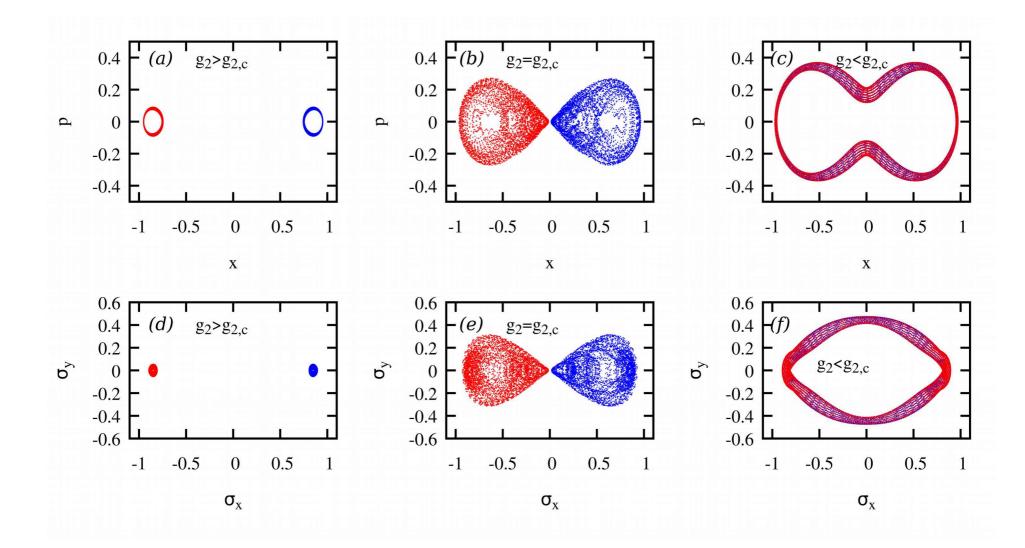


• Numerical results



DPT-I

• Semiclassical approximation (Poincare sections)



DPT-II

The DPT-II refers to non-analyticities in the rate function at certain critical times

Choosing the ground state at g_1 , $|\varphi_0^+(g_1)\rangle$, the Loschmidt echo must take into account the two-fold degenerate ground state:

$$|L(t)|^{2} = \sum_{q=\pm} |\langle \varphi_{0}^{q}(g_{1})|e^{-itH_{\text{QRM}}(g_{2})}|\varphi_{0}^{+}(g_{1})\rangle|^{2}$$

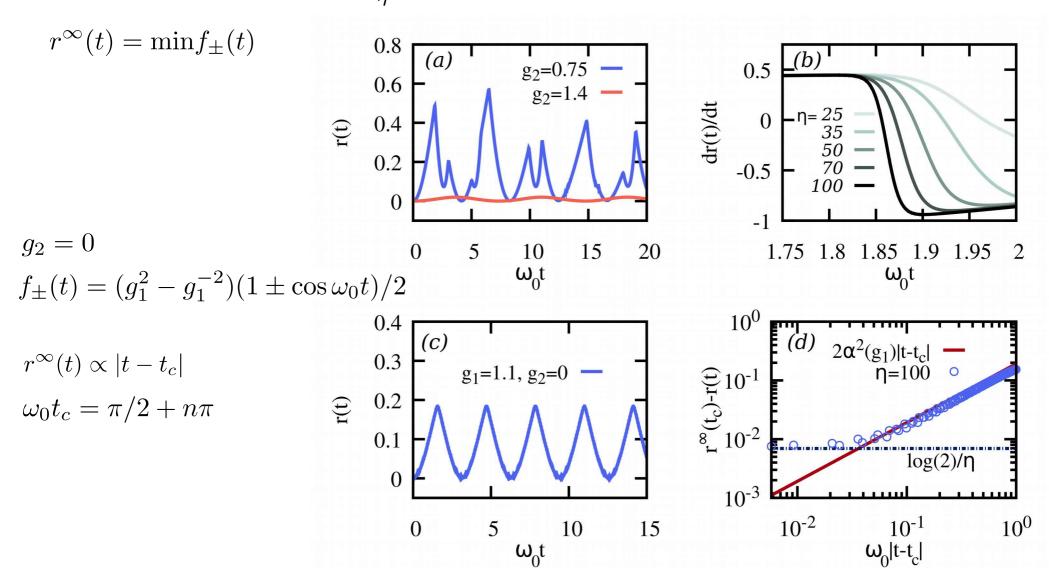
In the QRM, the bosonic states are displaced by $|\alpha|\propto \sqrt{\eta}~$ and therefore

$$|L(t)|^2 = P_+(t) + P_-(t)$$
, with $P_{\pm}(t) \propto e^{-\eta f_{\pm}(t)}$
 $r^{\infty}(t) = \lim_{\eta \to \infty} r(t) = \min_{q=\pm} f_q(t)$

Non-analytic when $f_{+}(t)$ and $f_{-}(t)$ cross

DPT-II

• Numerical results for $r(t) = -\frac{1}{n} \log |L(t)|^2$

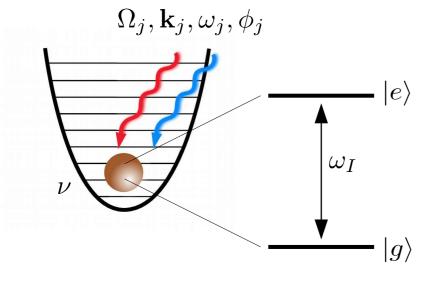


Single trapped-ion experiment

Single trapped-ion setup

It is possible to realize the QRM with tunable parameters using a single trapped ion

$$H_{\rm TI} = \frac{\omega_I}{2} \sigma_z + \nu a^{\dagger} a + \sum_j \frac{\Omega_j}{2} \sigma_x \left[e^{i(\mathbf{k}_j \cdot \mathbf{r} - \omega_j t - \phi_j)} + \text{H.c.} \right]$$



In the case of ⁴⁰Ca+ a qubit can be encoded in the states $|S_{1/2}, m_j = 1/2 >$, $|D_{5/2}, m_j = 3/2 >$ separated by an optical transition of 729nm. $\omega_I = 2\pi \cdot 4 \cdot 10^{14} \text{ Hz}$ $\omega_I \approx \omega_j \gg \nu \gg \Omega_j$ $\eta_j = \frac{k_j}{\sqrt{2m\nu}}$ Lamb-Dicke parameter $x = (a + a^{\dagger})/\sqrt{2m\nu}$

 \rightarrow

Coupling of ion's internal levels with its motion in the trap

D. Leibfried, R. Blatt, C. Monroe, D. Wineland, *Rev. Mod. Phys.* **75**, 281 (2003) H. Häffner, C. F. Roos, R. Blatt, *Phys. Rep.* **469**, 155 (2008)

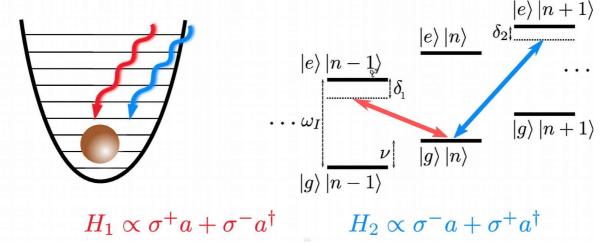
Generating interaction terms

Approximations to obtain interaction terms

$$|\omega_I - \omega_j| \ll |\omega_I + \omega_j|$$
 Optical RWA
 $\eta \sqrt{\langle (a + a^{\dagger})^2 \rangle} \ll 1$ Lamb-Dicke approx.

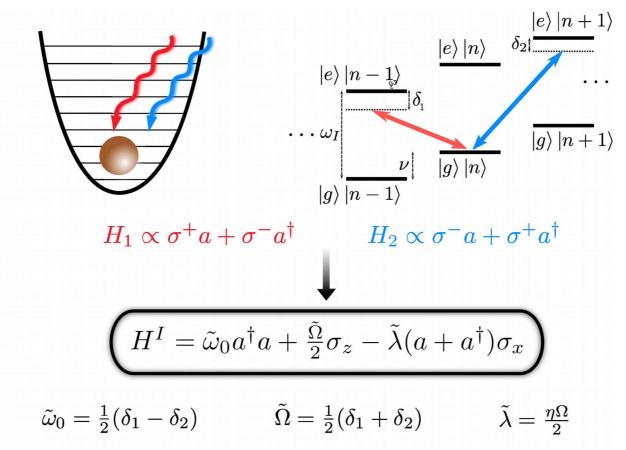
$$H_{\mathrm{TI}}^{I} = \sum_{j} \frac{\Omega_{j}}{2} \left[\sigma^{+} \left(I + i\eta (ae^{-i\nu t} + a^{\dagger}e^{i\nu t}) \right) e^{i(\omega_{I} - \omega_{j})t} e^{-i\phi_{j}} + \mathrm{H.c.} \right]$$

Tuning the frequency of the radiation to match $\omega_I - \omega_j = \pm \nu$ (red- and blue-sidebands) one obtains the desired interaction terms



Realization of a tunable QRM

 Leaving a small detuning with respect to the red- and blue-sidebands one finds a tunable QRM



It is possible to reach the demanding parameter regime to probe the critical QRM

J. S. Pedernales, I. Lizuain, S. Felicetti, G. Romero, L. Lamata, E. Solano, *Sci. Rep.* 5, 15472 (2015) RP, M.-J. Hwang, J. Casanova, M. B. Plenio, *Phys. Rev. Lett.* 118, 073001 (2017) M.-L. Cai et al., *Nat. Comm.* 12, 1126 (2021)

Summary

Finite-component systems can display rich critical phenomena

Novel route toward quantum criticality

Quantum Rabi model as an example:

A ground-state quantum phase transition (QPT)

Excited-state quantum phase transition (ESQPT)

Dynamical quantum phase transitions (DPT)

• These critical phenomena can be readily realized in a single trapped-ion experiment





